

ANNEX 4-C
CANADIAN COMPETITION BUREAU
PENALTIES IMPOSED IN CASES AGAINST INTERNATIONAL CARTELS
FOR VIOLATIONS OF THE COMPETITION ACT

| Date | Company/Individual | Product | Section of the Act | Penalty |
|-----------------|--|------------------------------|---------------------------|--|
| 1999 Oct 27 | Andreas Hauri (Swiss national and former executive of Hoffmann-La Roche Ltd) | Bulk Vitamins Citric Acid | 45(1)(c) | \$175,000 \$75,000 Total: \$250,000 |
| 1999 Oct 26 | Roussel Canada Inc. (Canada) | Bulk Vitamins | 46(1) | \$370,000 Total: \$370,000 |
| 1999 Oct 26 | Hoechst AG (Germany) Eastman Chemical Company (United States) | Sorbates | 45(1)(c) | \$2,500,000 \$780,000 Total: \$3,280,000 |
| 1999 Oct 25 | Dr. Roland Brönnimann (Swiss national and former executive of Hoffmann-La Roche Ltd) | Bulk Vitamins | 45(1)(c) | \$250,000 Total: \$250,000 |
| 1999 Sept 24 | Chinook Group Limited (Canada) | Choline Chloride | 45(1)(c) | \$2,250,000 Total: \$2,250,000 |
| 1999 Sept 22 | F. Hoffmann-La Roche Ltd (Switzerland) BASF Aktiengesellschaft (Germany) Rhône-Poulenc S.A. (France) Daichi Pharmaceutical Co., Ltd. (Japan) Eisai Co., Ltd. (Japan) | Bulk Vitamins | 45(1)(c) | \$48,000,000 \$18,000,000 \$14,000,000 \$2,500,000 \$2,000,000 |
| 1999 Sept 22 | BASF Aktiengesellschaft (Germany) | Choline Chloride | 45(1)(c) | \$1,000,000 Total: \$1,000,000 |
| 1999 Sept 22 | F. Hoffmann-La Roche Ltd (Switzerland) | Citric Acid | 45(1)(c) | \$2,900,000 Total: \$2,900,000 |
| 1999 Sept 17 | Russell Cosburn (Canadian and former executive of Chinook Group Limited) | Choline Chloride | 45(1)(c) | nine months prison and 50 hours of community service |