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# Characteristics of Federal Grant-in-Aid Programs to State and Local Governments: Grants Funded FY 1991



Advisory Commission on  
Intergovernmental Relations

**M-182**  
March 1992

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## Executive Summary

During the past 25 years, FY 1966-1991, federal grants-in-aid to state and local governments have changed dramatically in type, number, dollar amount, and other characteristics.

Until 1966, all federal grants were for narrow categorical programs with specific purposes and tight federal spending requirements. In 1966, the first block grant was enacted to authorize a broader range of spending by state and local governments, providing greater latitude for decisionmaking by states and localities. The number of block grants grew to 14 by 1991. General Revenue Sharing funds that could be spent with virtually no restrictions were provided to state governments from 1972 to 1981 and to local governments from 1972 to 1986.

The number of categorical programs grew dramatically from 422 in 1975 to 534 in 1981, dropped to 392 in 1984, and then rose slowly to an all-time high of 543 in 1991.

There have been significant changes in the amount of grant outlays from FY 1975 to FY 1991. At least 70 percent of the money in the grant system has been distributed through categorical programs, but block grants have carved out a 10-15 percent portion. General Revenue Sharing declined from 15.9 percent of total outlays in 1973 to 4.6 percent in 1986, its last year.

In general, about three-fourths of all grant aid is distributed by formulas, with the rest distributed on a discre-

tionary basis by federal agencies. Medicaid, the largest formula program, accounts for about 30 percent of all grant outlays.

The purposes of federal grants have changed significantly during the past 25 years. Measured by outlays, grants for health programs rose from 9.1 percent to 34.6 percent of the total, while income security remained at about 27 percent. Education, training, employment, and social services outlays dropped from 20.1 percent to 16.9 percent of the total, and community and regional development fell from 4.5 percent to 3.0 percent. Transportation outlays dropped substantially—from 31.6 percent to 11.4 percent of the total.

The grant system was simplified in the 1970s and early 1980s, giving states and localities more discretion in the use of funds. Grant consolidation spurred in the early 1980s, mainly through the 1981 *Omnibus Budget Reconciliation Act*, but has shown little movement since then, although it is often mentioned in connection with proposals for the federal government to devolve more responsibility to the states. The main avenue of expansion continues to be the categorical grant. Project grants continue to show the greatest growth among the several types of categoricals, constituting in 1991 the highest percentage of all such grants since 1975.

# Preface and Acknowledgments

This volume is the sixth update of ACIR's report on the characteristics of federal grant-in-aid programs. The first report was published in 1975. This update covers congressional action from January 1, 1989, through December 31, 1990. It provides a snapshot of the scope and principal features of federal grant programs as of Fiscal Year 1991, and comments on major trends in categorical and block grants during the past two years, the ten years of the Reagan and Bush administrations, and the quarter-century 1966-1991.

This report was prepared by Albert J. Richter, retired senior analyst, with the assistance of Suzanne Spence of the Commission staff.

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# Contents

<b>Introduction</b> .....	1
Grant Programs in 1991 .....	1
Grant Outlays .....	5
Categorical Grants: Major Features and Trends .....	5
Formula-Based vs. Project .....	5
The Changing Functional Mix .....	5
Other Trends .....	9
A Quarter-Century of Federal Grants: Changes in Functional Emphasis, Use of Grant Mechanisms .....	9
Functional Shifts .....	9
The Fiscal Assistance Structure and ACIR's Proposals .....	15
<b>Methodology</b> .....	17
<b>Data Included</b> .....	19
<b>Key to Listing</b> .....	21
<b>Federal Grant-in-Aid Programs to State and Local Governments: Grants Funded FY 1991</b> .....	23
<b>Exhibits, Figures, and Tables</b>	
<i>Exhibit 1</i> —Categorical Grant Programs Listed in FY 1991, but Not in FY 1989 .....	2
<i>Exhibit 2</i> —Categorical Grant Programs Listed in FY 1989, but Not in FY 1991 .....	3
<i>Figure 1</i> —Block Grants in Effect, Selected Fiscal Years, 1966-1991 .....	4
<i>Figure 2</i> —Grant Outlays, by Type, Selected Fiscal Years, 1975-1991 (current dollars) .....	6
<i>Figure 3</i> —Grant Outlays, by Type, Selected Fiscal Years, 1975-1991 (constant dollars, 1982 = 100) .....	7
<i>Figure 4</i> —Number of Categorical Formula-Based and Project Grants, Selected Fiscal Years, 1975-1991 .....	8
<i>Figure 5</i> —Federal Outlays for Grants to States and Localities, Percentage Distribution by Function, FY 1966, FY 1978, and FY 1991 .....	14
<i>Table 1</i> —Number of Categorical and Block Grant Programs, Selected Fiscal Years, 1975-1991 .....	1
<i>Table 2</i> —Outlays for General Purpose, Broad-Based, and Other Grants, Selected Fiscal Years, 1975-1991 .....	5
<i>Table 3</i> —Number of Categorical Grants, by Type, Selected Fiscal Years, 1975-1991 .....	8
<i>Table 4</i> —Number of Grant Programs, by Budget Subfunction and Grant Type, FY 1989 and FY 1991 .....	9
<i>Table 5</i> —Number of Categorical Grant Programs, by Grant Type, Existence of Non-Federal Match, and Eligible Recipients, FY 1989 and FY 1991 .....	11
<i>Table 6</i> —Number of Grant Programs, by Type and Administering Agency, FY 1989 and FY 1991 .....	12
<i>Table 7</i> —Number of Categorical Grant Programs by Eligible Recipients, Selected Fiscal Years, 1975-1991 .....	12
<i>Table 8</i> —A Quarter-Century of Federal Outlays for Grants to States and Localities, FY 1966, FY 1978, FY 1991 ...	13





# Introduction

As part of its multivolume report on the intergovernmental grant system in 1975, the Advisory Commission on Intergovernmental Relations (ACIR) identified, counted, and examined the characteristics of federal categorical grant-in-aid programs to state and local governments. Although there had been earlier compilations of federal assistance programs, ACIR's report was the first to focus exclusively on categorical grants and, thereby, portray an accurate picture of the federal grant system.

ACIR updated the report at regular intervals (1978, 1981, 1984, 1987, and 1989). The later reports were broadened to list and describe the characteristics of block grants and General Revenue Sharing (GRS). This update for FY 1991 includes all the federal grant programs funded and available to state and local governments as of January 1, 1991. It also identifies major changes in the basic features of the grants and the grant system.

**Categorical grants** are directed at specific, narrowly defined activities and are distributed in one of the following ways:

*Formula:* Distributed by a legislatively or administratively prescribed formula. A formula grant with open-end reimbursement means that the federal government matches all approved expenditures without limit.

*Project:* Distributed at the discretion of the administrator. These are sometimes called discretionary grants.

*Formula/Project:* Discretion of the administrator in awarding project grants is constrained by a formula, for example, one limiting the amount that may be awarded to or within any state.

**Block grants**, which are used for broad functional areas, are distributed by statutory formula and may be used at the recipient's discretion. Most block grants are awarded to state governments, but a few go directly to local governments (e.g., Community Development Block Grant).

**General Revenue Sharing** was distributed by statutory formula with little or no functional restrictions on the use of funds. This form of funding was provided by the federal government to local governments from 1972 to 1986 and to state governments from 1972 to 1981.

## Grant Programs in 1991

Table 1 shows—by block and categorical grants—the number of funded federal grant programs available to state and local governments from FY 1975 to 1991.

The 543 categorical programs funded as of January 1991 is the largest number since FY 1975, exceeding the previous high of 534 in 1981 at the end of the Carter administration. Between 1981 and 1984, the first three years of the Reagan administration, the number dropped to 392, but then began to increase steadily through FY 1991. The 543 programs for FY 1991 represent an increase of 65, or 14 percent, over the 478 programs available on January 1, 1989. Eighty-two programs were added during 1990-91, and 17 were either abolished or their funding was withheld for FY 1991 (see Exhibits 1 and 2).

The drop in the number of categorical grants in the early Reagan years was traceable to reduced funding and, as indicated in Table 1 and Figure 1, to the increase in the number of block grants from 5 to 12 from 1981 to 1984. The largest change came via the 1981 *Omnibus Budget Reconciliation Act*, which consolidated 77 categorical programs into nine new or revised block grants. Since that time, other block grants have been proposed, including President Bush's FY 1992 and 1993 consolidated block grant proposals ("turnovers"), but only three new block grants have been enacted by the Congress. Between fiscal years 1989 and 1991, only one new block grant was added (Payments to States for Day Care Assistance) and one was dropped (Criminal Justice, which was picked up by the categorical

Table 1  
Number of Categorical and Block Grant Programs, Selected Fiscal Years, 1975-1991

	1975	1978	1981	1984	1987	1989	1991
Block	5	5	5	12	13	14	14
Categorical	422	492	534	392	422	478	543
Total	427	497	539	404	435	492	557

Source: ACIR tabulation based on *Catalog of Federal Domestic Assistance, United States Code*, and federal agency contacts.

*Exhibit 1*  
**Categorical Grant Programs Listed in FY 1991, but Not in FY 1989<sup>1</sup>**

Budget Subfunction Number	Title	CFDA Number	FY 1991 Estimated Obligations (millions)
053	Technology Integration	81.103	4.0
272	Energy Task Force for Urban Consortium	81.081	1.9
276	Minority Math-Science Leadership Development Recognition	81.099	1.5
302	Cooperative Inspection Agreements with States and Tribes	15.222	0.1
303	Urban Park and Recreation Recovery Program	15.919	19.4
304	State Indoor Radon Grants	66.032	8.2
304	Wetlands Protection—State Development	66.461	5.0
304	Toxic Release Inventory Data Quality Capabilities Program	66.705	0.8
304	Enhancement Grants for State Asbestos Programs	66.706	1.3
304	Core Program Cooperative Agreements	66.809	12.7
304	Pollution Prevention Incentives for States	66.900	7.0
304	State/EPA Data Management Financial Assistance Program	66.925	1.0
305	Geodetic Surveys and Services	11.400	3.0
306	Environmental Research Laboratories Joint Institutes	11.432	14.0
306	Cooperative Fishery Statistics	11.434	0.9
306	Southeast Area Monitoring and Assessment Program	11.435	0.7
306	Columbia River Fisheries Development Program	11.436	8.1
306	West Coast Fisheries Data Program	11.437	1.7
306	Pacific Salmon Treaty Program	11.438	4.5
306	Marine Mammal Data Program	11.439	0.8
306	Research in Remote Sensing of the Earth and Environment	11.440	0.2
351	Temporary Emergency Food Assistance (Food Commodities)	10.569	120.0
352	Animal Damage Control	10.028	24.6
376	State Technology Extension Program	11.613	0.3
376	Natural Resource Development	59.045	*
452	Emergency Community Water Assistance	10.440	10.0
501	Postsecondary Education Programs for Persons with Disabilities	84.078	8.6
501	Drug-Free Schools and Communities—School Personnel Training	84.207	23.4
501	Education for Homeless Children and Youth—Exemplary Grants	84.225	2.5
501	Secondary Schools—Basic Skills Demonstration Assistance	84.227	*
501	Technology Education Demonstration	84.240	1.0
501	Emergency Grants (Substance Abuse)	84.233	24.3
501	Children and Youth with Serious Emotional Disturbances	84.237	2.0
501	Training Programs for Educators—Alcohol Abuse	84.238	1.6
501	Counselor Training	84.241	3.4
501	Tech-Prep Education	84.243	64.4
503	Educational Partnerships	84.228	4.2
503	Foreign Language Materials Acquisition	84.239	1.0
506	Projects with Industry	84.234	19.4
506	Special Projects and Demonstrations for Providing Vocational Rehabilitation Services to Individuals with Severe Handicaps	84.235	18.4
506	Training and Public Awareness Projects in Technology Related Assistance for Individuals with Disabilities	84.236	1.8
506	Program of Protection and Advocacy	84.240	1.0
506	Rehabilitation Short-Term Training	84.246	0.4
506	Transitional Living for Runaway and Homeless Youth	93.550	9.9
506	Special Programs for the Aging—Prevention of Abuse, Neglect and Exploitation of Older Individuals	93.552	2.9
506	Special Programs for the Aging—Long-Term Care Ombudsman Services for Older Individuals	93.553	2.4
506	Emergency Protection Grants—Substance Abuse	93.554	18.0
550	Disabilities Prevention	93.184	5.6
550	Immunization Research, Demonstration, Public Information and Education— Education, Training, and Clinical Skills Improvement Projects	93.185	1.9
550	Interdisciplinary Training for Health Care for Rural Areas	93.192	4.4
550	Community Partnership Demonstration Grant (Substance Abuse)	93.194	92.4
550	Cooperative Agreements for Drug Abuse Treatment Improvement Projects in Target Cities	93.196	30.6
550	Childhood Lead Poisoning Prevention Projects—State and Community-Based Childhood Lead Poisoning Prevention Program	93.197	5.6
550	HIV Home and Community-Based Health Services	93.199	*
550	Non-Acute Care Intermediate and Long-Term Care Facilities for Patients with AIDS	93.887	4.0
550	Communications Programs Aimed Toward Prevention of Alcohol and Other Drug Problems	93.901	2.6
550	Model Comprehensive Drug Abuse Treatment Programs for Critical Populations	93.902	38.0
550	Model Criminal Justice Drug Abuse Treatment—Incarcerated Populations— Non-Incarcerated Populations—Juvenile Justice Populations	93.903	16.0

Exhibit 1 (cont.)

Categorical Grant Programs Listed in FY 1991, but Not in FY 1989<sup>1</sup>

Budget Subfunction Number	Title	CFDA Number	FY 1991 Estimated Obligations (millions)
550	Prevention and Primary Health Care Services to Persons with HIV Infection and/or AIDS in Community Health Facilities	93.904	*
550	Subacute Care Demonstration (AIDS Patients)	93.909	*
550	Drug Abuse Campus Treatment Demonstration Projects	93.911	18.0
550	HIV Emergency Relief Project Grants	93.914	87.0
550	HIV Emergency Relief Formula Grants	93.915	87.0
550	Nurse Anesthetist Education	93.916	0.5
550	HIV Care Formula Grants	93.917	87.0
550	Out-Patient Early Intervention Services with Respect to HIV Disease	93.918	44.9
550	State-Based Comprehensive Breast and Cervical Cancer Control Programs	93.919	23.0
550	Facilities Construction Projects to Support Centers for Treatment and Research on Substance Abuse	93.920	4.7
550	ADAMHA Science Education Partnership Award	93.921	2.0
550	NIH Science Education Partnership Award	93.922	2.0
551	Rural Health Services Outreach	93.912	18.0
551	Operation of Offices of Rural Health	93.913	1.5
554	Employee Assistance Programs—Drug, Alcohol Abuse	17.501	0.6
604	Public Housing Drug Elimination Program	14.854	150.0
604	Congregate Housing Services Program	14.170	14.5
609	Child Care for Families at Risk of Welfare Dependency	93.036	150.0
609	Child Care Improvement	93.039	13.0
751	Fair Housing Initiatives Program (FHIP) Education and Outreach Initiative	14.409	3.4
999	Solid Waste Management Assistance	66.808	4.0
999	Facility Survey, Engineering and Development	83.509	3.6
999	National Urban Search and Rescue Program	83.526	1.0
999	Emergency Management Institute—Field Training Program	83.528	10.3

<sup>1</sup> Programs listed in FY 1991 but not in FY 1989 were mainly programs enacted by the Congress and funded in the two-year period. In a few cases, the programs had been enacted prior to FY 1989, but were not funded until later. In some cases, the programs added represented a change in the category of assistance shown in the *Catalog of Federal Domestic Assistance (CFDA)* (e.g., from “Direct Payments for Specified Use” or “Provision of Specialized Services” to “Project Grants”) or the type of eligible recipient (e.g., from “public or private organizations” to “state and local governments”).

\* Less than \$0.05 million.

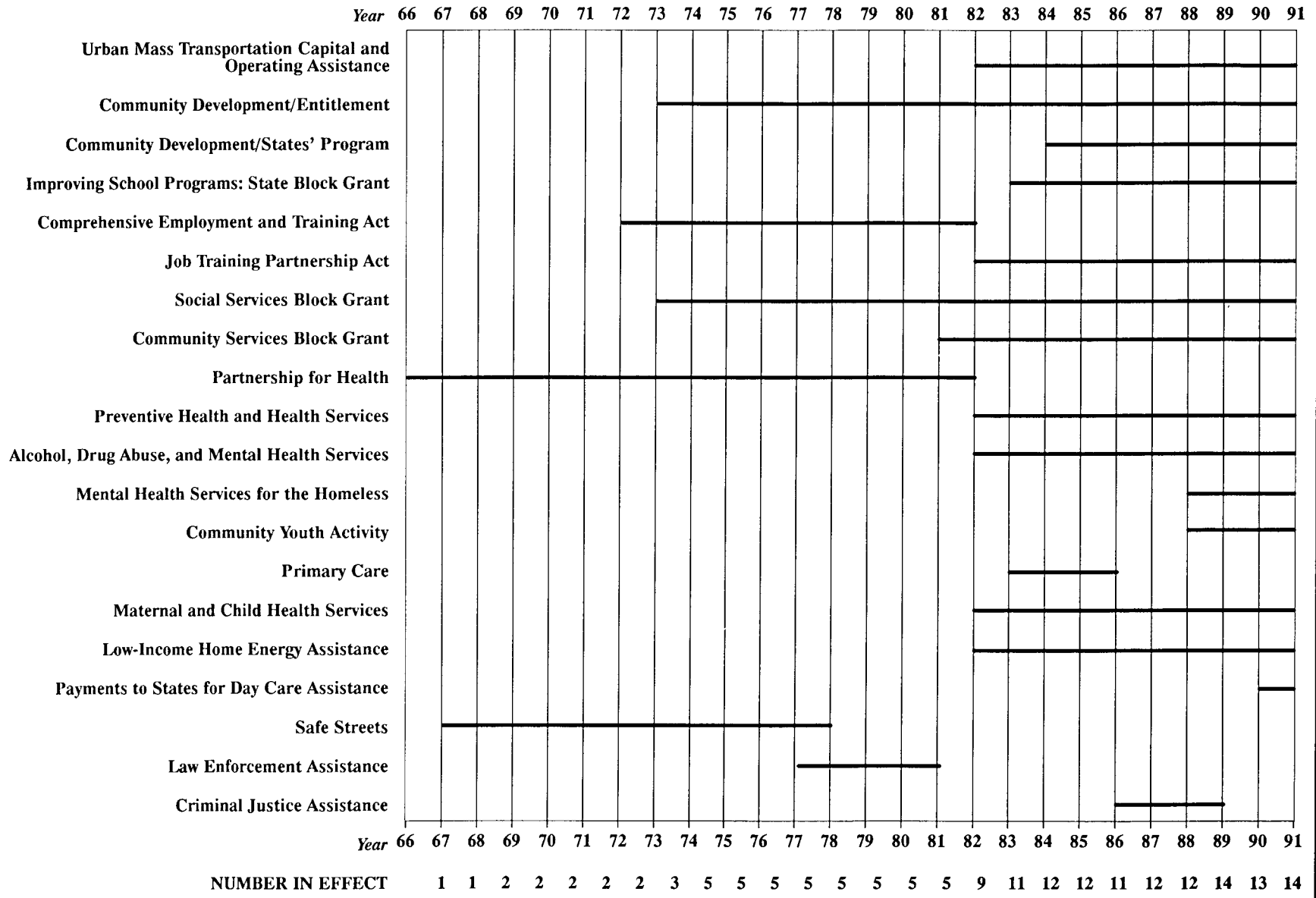
Exhibit 2

Categorical Grant Programs Listed in FY 1989, but Not in FY 1991<sup>1</sup>

Budget Subfunction Number	Title	CFDA Number
304	Construction Grants for Abatement of Combined Sewer Overflow Pollution in Marine Bays and Estuaries	66.455
304	Nonpoint Source Reservation	66.459
304	Pesticides Certification Program	66.704
352	Competitive Research Grants for Forest and Rangeland Renewable Resources	10.213
451	Urban Development Action Grants	14.221
451	Community Development Block Grant/Secretary's Discretionary Fund/Special Projects	14.232
501	Secretary's Discretionary Program (Department of Education)	84.122
501	Transition Program for Refugee Children	84.146
501	Removal of Architectural Barriers to the Handicapped	84.155
501	National School Volunteer Program	84.222
504	Work Incentive Program	13.790
506	State Office of Voluntarism	72.011
506	Volunteer Demonstration Program	72.012
506	Technical Assistance Program (ACTION)	72.013
550	State Comprehensive Mental Health Service Planning Development	13.158
550	Human Immunodeficiency Virus (HIV) Services Planning	13.168
808	Bicentennial Education Grant Program	90.001

<sup>1</sup> Programs listed in FY 1989 but not in FY 1991 were mainly programs that expired or that the Congress repealed in the two-year period, or did not fund for FY 1991. In a few cases, the programs dropped from the listing represented a change in the ACIR classification of type of assistance (e.g., from “formula grant” to “direct payment for specified use”) or eligible recipient (from “state and local governments” to “private persons”).

Figure 1  
Block Grants in Effect, 1966-1991



Sources: United States Code and 1991 Catalog of Federal Domestic Assistance.

*Table 2*  
**Outlays for General Purpose, Broad-Based, and Other Grants, Selected Fiscal Years, 1975-1991**  
(dollar amounts in billions)

	1975	1978	1981	1984	1987	1989	1991 (est.)
<b>Current Dollars</b>							
General Purpose	7.0	9.6	6.8	6.8	2.1	2.3	2.4
Broad-Based (Mostly Blocks)	4.6	11.5	10.0	13.0	13.1	12.7	14.5
Other (Categoricals)	38.2	56.8	77.9	77.8	93.2	106.9	141.7
<b>Total</b>	<b>49.8</b>	<b>77.9</b>	<b>94.7</b>	<b>97.6</b>	<b>108.4</b>	<b>122.0</b>	<b>158.6</b>
<b>Constant Dollars (1982 = 100.0)</b>							
General Purpose	11.8	13.3	7.2	6.3	1.8	1.8	1.8
Broad-Based (Mostly Blocks)	7.8	15.9	10.6	12.1	11.1	10.1	10.9
Other (Categoricals)	64.4	78.7	82.9	72.2	79.4	84.6	106.4
<b>Total</b>	<b>84.0</b>	<b>107.9</b>	<b>100.7</b>	<b>90.6</b>	<b>92.3</b>	<b>96.6</b>	<b>119.1</b>
<b>Percent of Total</b>							
General Purpose	14.1	12.3	7.2	7.0	1.9	1.9	1.5
Broad-Based	9.2	14.7	10.6	13.3	12.1	10.4	9.2
Categoricals	76.7	73.0	82.2	79.7	86.0	87.6	89.4
<b>Total</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>

Source: Outlay data from U.S. Office of Management and Budget, Office of Budget Analysis, unpublished data, 1991. Constant dollar computation based on GNP Implicit Price Deflator, *Economic Report of the President*, 1992.

grant Drug Control and System Improvement). The total number of block grants remained at 14.

#### Grant Outlays

Dollar outlays for grant programs for the years covered by the ACIR reports, taken from data prepared by the Office of Management and Budget (OMB), are shown in Table 2 and Figures 2 and 3. These are the best available fiscal data on grants, although the classifications are slightly different from ACIR's. In the OMB format, "general purpose" includes, besides General Revenue Sharing, certain departmental payments and payments to Puerto Rico and the District of Columbia. "Broad-based" includes, in addition to block grants, such items as "school aid in federally affected areas" and, in some early years, "local public works for economic stimulus." The table shows the changing mix in the three types of grants.

The middle part of the table and Figure 3 show grant outlay figures adjusted for inflation (1982 = 100.0). While outlays rose uninterruptedly from 1975 through 1991 in current dollars, in constant dollars they reached a peak in 1978 (\$107.9 billion), after which they declined through 1984 and did not exceed the 1978 amount until 1991 when they soared to an estimated \$119.1 billion (measured in two- or three-year intervals).

#### Categorical Grants: Major Features and Trends

The categorical grants funded as of January 1, 1991, and their major features are identified in the body of this report. Although the categorical grants are again the focus of

the analysis, they are preceded in the listing by the 14 block grants funded as of January 1991.

#### Formula-Based vs. Project

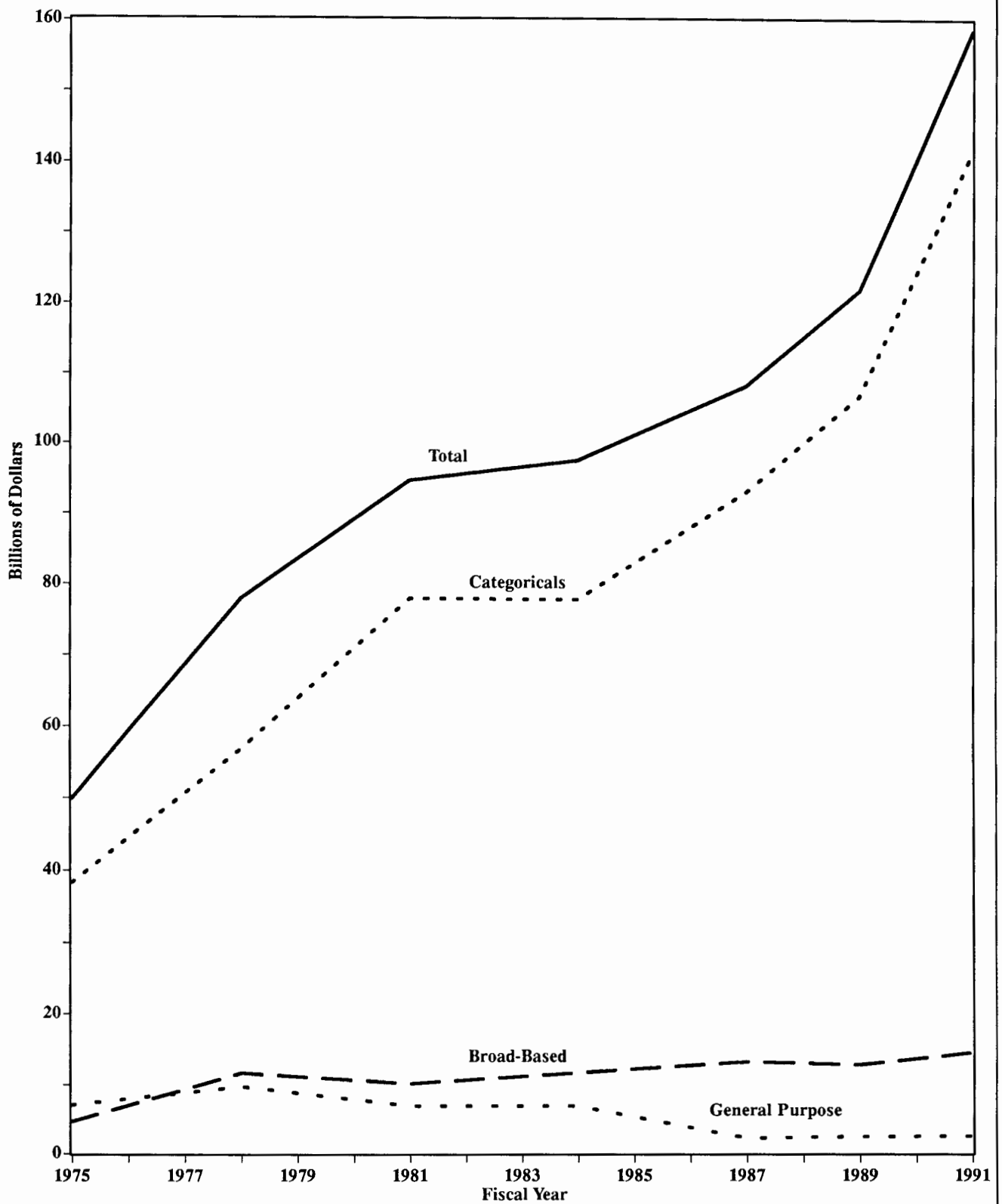
Reversing the 1987-1989 trend, project grants increased to 70.7 percent of categorical grants, the highest percentage of the total since 1975. Table 3 (see page 8) shows the breakdown among the major types of categorical grants in each ACIR report. Figure 4 shows the trends in the numbers of categorical formula-based and project grants.

#### The Changing Functional Mix

Comparing categorical grants in FY 1989 with those in FY 1991 by function (Table 4) reveals shifts in federal policy emphasis. The largest number of new grants (Exhibit 1) were in health (24); natural resources and environment (15); and education, training, employment, and social services (13). In the health field, eight new substance abuse programs and eight HIV-AIDS programs were initiated. The new natural resources and environment grants covered a range of concerns, such as radon, asbestos and toxic pollution, and fisheries conservation in several coastal regions. Most of the added programs in education and social services were for substance abuse, the disabled, the homeless, and the aging.

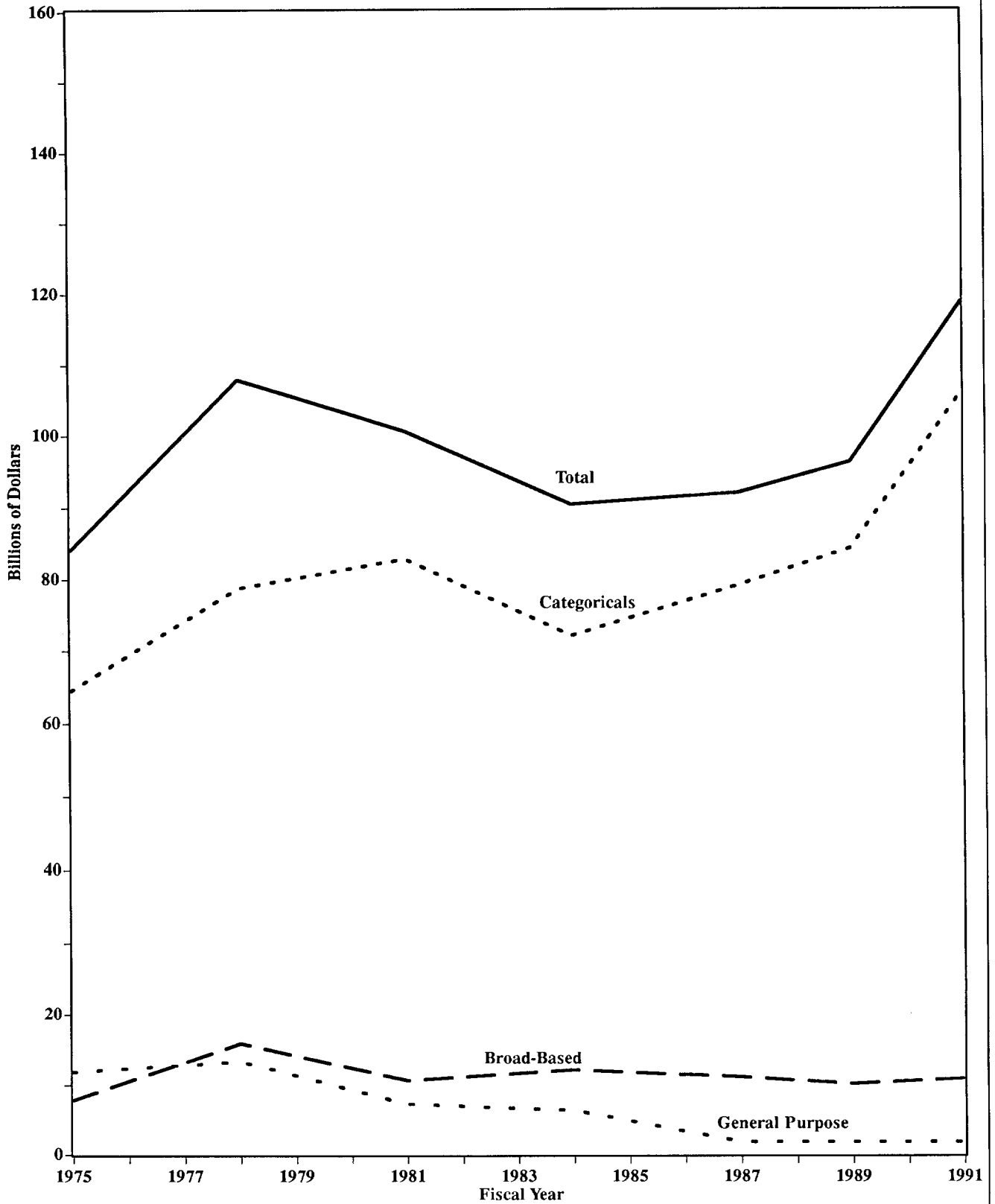
Notable among the 17 grants dropped between 1989 and 1991 (Exhibit 2) were the Urban Development Action Grant (UDAG) and the HUD Secretary's discretionary fund for community development, both of which were urban-oriented programs. Also discontinued were grants for the national school volunteer program and state offices of voluntarism.

*Figure 2*  
**Grant Outlays, by Type, Selected Fiscal Years, 1975-1991**  
 (current dollars)



Source: Table 2.

*Figure 3*  
**Grant Outlays, by Type, Selected Fiscal Years, 1975-1991**  
 (constant dollars, 1982 = 100)



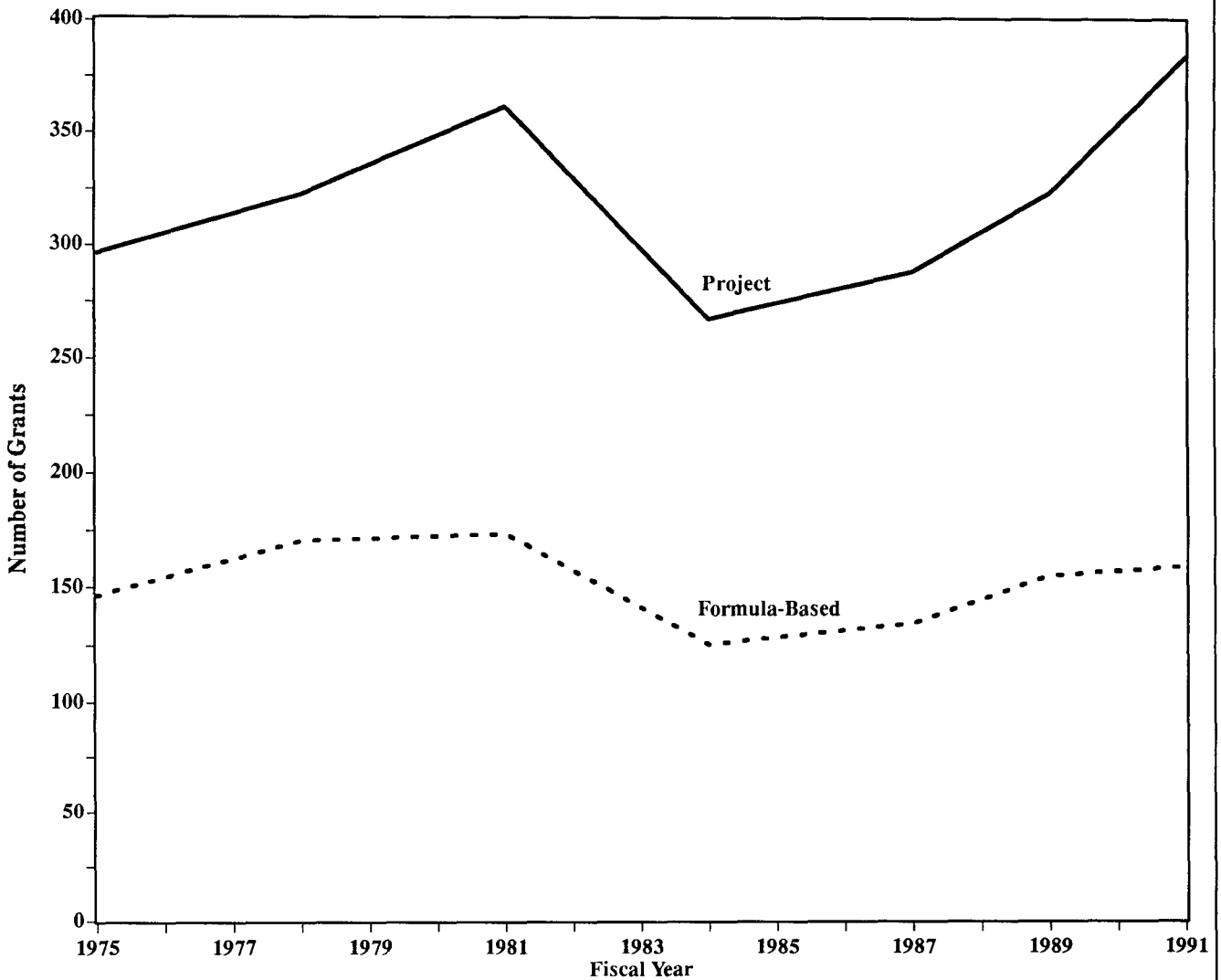
Source: Table 2.

*Table 3*  
**Number of Categorical Grants, by Type, Selected Fiscal Years, 1975-1991**

	1975		1978		1981		1984		1987		1989		1991	
	#	%	#	%	#	%	#	%	#	%	#	%	#	%
<b>Formula-Based</b>														
Allotted Formula	96	21.7	106	21.5	111	20.8	80	20.4	93	22.3	117	24.5	119	21.9
Project Grants Subject to Formula Allocation	35	7.9	47	9.6	42	7.9	27	6.9	23	5.5	21	4.4	22	4.1
Open-End Reimbursement	15	3.4	17	3.5	20	3.7	18	4.6	18	4.0	17	3.6	18	3.3
<b>Total Formula-Based</b>	<b>146</b>	<b>33.0</b>	<b>170</b>	<b>34.6</b>	<b>173</b>	<b>32.4</b>	<b>125</b>	<b>31.9</b>	<b>134</b>	<b>31.8</b>	<b>155</b>	<b>32.5</b>	<b>159</b>	<b>29.3</b>
<b>Project</b>	<b>296</b>	<b>67.0</b>	<b>322</b>	<b>65.4</b>	<b>361</b>	<b>67.6</b>	<b>267</b>	<b>68.1</b>	<b>288</b>	<b>68.2</b>	<b>323</b>	<b>67.6</b>	<b>384</b>	<b>70.7</b>
<b>Total 442</b>	<b>100.0</b>	<b>492</b>	<b>100.0</b>	<b>534</b>	<b>100.0</b>	<b>392</b>	<b>100.0</b>	<b>422</b>	<b>100.0</b>	<b>478</b>	<b>100.0</b>	<b>543</b>	<b>100.0</b>	

Source: ACIR tabulation based on *Catalog of Federal Domestic Assistance, United States Code*, and federal agency contacts.

*Figure 4*  
**Number of Categorical Formula-Based and Project Grants, Selected Fiscal Years, 1975-1991**



Source: Table 3.



## Other Trends

For FY 1989-91, the long-term decline continued in the proportion of programs requiring state or local matching funds (Table 5). This trend had been reversed during FY 1981-84, but resumed in 1985, going from 55.5 percent to 53.6 percent in 1989 and to 49.9 percent in 1991. In the past two years, 40 percent of the 65 added programs required matching, as did 42 percent of the 17 discontinued programs.

Table 5 also shows that there has been very little change in the types of recipients eligible for these grants since FY 1989.

As would be expected, the changing functional mix is reflected in the breakdown of grants by department or agency (Table 6). The Public Health Service (PHS), the Department of Education, and the Department of Commerce showed the greatest increases in the number of grant programs between FY 1989 and FY 1991. The first two were also the leading growth centers from FY 1987 to FY 1989, reflecting the government's continuing emphasis on health and education problems.

As in other years, most of the new programs added from FY 1989 to FY 1991 were relatively small in dollar terms. The median (middle item) of estimated obligations for FY 1991 was \$4.2 million. The mean (average) was \$16.9 million, reflecting the effect of a few larger budget programs, such as the Public Housing Drug Elimination Program, \$150 million (CFDA 14.854); Child Care for Families at Risk of Welfare Dependency, \$150 million (CFDA 93.036); and Temporary Emergency Food Assistance (Food Commodities), \$120 million (CFDA 10.569).

Over the years, there has been some shift in the proportion of categorical grant programs directed to various types of recipients identified in this analysis. As seen in Table 7, the percentage going only to state governments increased slightly between FY 1975 and FY 1991, whereas the percentage going to state and local governments, or only to local governments declined. The big gainer was the group of programs in which nongovernmental organizations (public and private nonprofit) are eligible along with state and local governments (49.2 percent in FY 1991 compared to 44.8 percent in FY 1975).

## A Quarter-Century of Federal Grants: Changes in Functional Emphasis and Use of Grant Mechanisms

ACIR has been monitoring federal grants to states and localities since 1975, but its attention to the structure and functioning of the grant system goes back about a quarter-century to the 1967 report *Fiscal Balance in the American Federal System*. In that report, ACIR made basic proposals on the nature and use of categorical grants and alternative mechanisms for federal intergovernmental financial assistance. In the 1980s series on *The Intergovernmental Grant System: An Assessment and Proposed Policies*, ACIR reaffirmed and elaborated on these recommendations. It may be of interest to review briefly what has happened to the federal grant system over the past 25 years in terms of the magnitude and functional distribution of federal grant outlays as well as directions proposed by ACIR for restructuring the system.

### Functional Shifts

Table 8 highlights the fiscal-functional picture for 1966, 1978, and 1991 (estimated) measured by federal outlays for grants to states and localities. The year 1978 is chosen as a midpoint because prior to 1991 it marked the high point in the number of grant programs and the amount of outlays (measured in constant dollars).

There were some major functional shifts, from 1966 to 1978 and from 1978 to 1991, reflecting a continual change in public policy priorities. As shown in Figure 5, the principal gainer between 1966 and 1991 was health, from 9.1 percent to 34.6 percent of total grant outlays. This escalation was due largely to the burgeoning of Medicaid from \$0.8 billion in 1966 (its first year) to \$51.6 billion in 1991 (32.5 percent of total grant outlays). The 27.0 percent for income security in 1991 was little changed from 27.8 percent 25 years earlier, but there was a substantial dip to 17.7 percent in 1978. The share for education, training, employment, and social services grew from 20.1 percent to 26.4 percent between 1966 and 1978, but dropped back to 16.9 percent between 1978 and 1991. Transportation outlays con-

Table 4  
Number of Grant Programs, by Budget Subfunction and Grant Type,  
FY 1989 and FY 1991

Budget Function and Subfunction	1989	BLOCK GRANTS	
		1991	Change 1989-1991
401 Ground Transportation	1	1	—
451 Community Development	2	2	—
501 Elementary, Secondary, Vocational Education	1	1	—
504 Training and Employment	1	1	—
506 Social Services	2	2	—
550 Health	4	4	—
551 Health Care Services	1	1	—
609 Other Income Security	1	2	+1
754 Criminal Justice Assistance	1	—	-1
<b>Total Block Grants</b>	<b>14</b>	<b>14</b>	<b>—</b>

(table continued on page 10)

*Table 4 (cont.)*  
**Number of Grant Programs, by Budget Subfunction and Grant Type,  
 FY 1989 and FY 1991**

Budget Function and Subfunction	CATEGORICAL GRANTS						Change 1989-1991
	1989			1991			
	Formula	Project	Total	Formula	Project	Total	
<b>050 National Defense</b>							
051 Department of Defense—Military	—	3	3	—	3	3	—
053 Atomic Energy Defense Activities	—	—	—	—	1	1	+1
<b>270 Energy</b>							
270 Energy	2	—	2	2	—	2	—
271 Energy Supply	—	7	7	—	7	7	—
272 Energy Conservation	2	—	2	2	1	3	+1
276 Energy Information, Policy and Regulation	—	1	1	—	2	2	+1
<b>300 Natural Resources and Environment</b>							
301 Water Resources	—	2	2	—	2	2	—
302 Conservation and Land Management	1	10	11	1	11	12	+1
303 Recreational Resources	5	2	7	5	3	8	+1
304 Pollution Control and Abatement	9	13	22	8	18	26	+4
306 Other Natural Resources	2	8	10	2	17	19	+9
<b>350 Agriculture</b>							
351 Farm Income Stabilization	1	—	1	2	—	2	+1
352 Agricultural Research and Services	4	7	11	4	7	11	—
<b>370 Commerce and Housing Credit</b>							
371 Mortgage Credit and Deposit Insurance	—	1	1	—	1	1	—
376 Other Advancement of Commerce	—	5	5	1	6	7	+2
<b>400 Transportation</b>							
401 Ground Transportation	16	10	26	16	10	26	—
402 Air Transportation	1	—	1	1	—	1	—
403 Water Transportation	1	1	2	1	1	2	—
407 Other Transportation	1	—	1	1	—	1	—
<b>450 Community and Regional Development</b>							
451 Community Development	3	7	10	3	5	8	-2
452 Area and Regional Development	3	17	20	3	18	21	+1
453 Disaster Relief and Insurance	—	8	8	—	8	8	—
<b>500 Education, Training, Employment and Social Services</b>							
500 General	2	—	2	2	—	2	—
501 Elementary, Secondary, and Vocational Education	26	50	76	25	57	82	+6
502 Higher Education	3	6	9	3	6	9	—
503 Research and General Education Aids	5	8	13	5	10	15	+2
504 Training and Employment	6	3	9	5	3	8	-1
505 Other Labor Services	—	2	2	—	2	2	—
506 Social Services	22	47	69	24	51	75	+6

*Table 4 (cont.)*  
**Number of Grant Programs, by Budget Subfunction and Grant Type,  
 FY 1989 and FY 1991**

Budget Function and Subfunction	CATEGORICAL GRANTS						Change 1989-1991
	1989			1991			
	Formula	Project	Total	Formula	Project	Total	
<b>550 Health</b>							
550 General	2	51	53	4	70	74	+21
551 Health Care Services	3	5	8	3	7	10	+2
554 Consumer and Occupational Health and Safety	1	3	4	1	4	5	+1
<b>600 Income Security</b>							
601 General Retirement and Disability Insurance	—	1	1	—	1	1	—
604 Housing Assistance	1	2	3	1	4	5	+2
605 Food and Nutrition Assistance	14	—	14	14	—	14	—
609 Other Income Security	4	3	7	6	3	9	+2
<b>700 Veterans Benefits and Services</b>							
703 Hospital and Medical Care for Veterans	3	1	4	3	1	4	—
705 Other Veterans Benefits and Services	—	1	1	—	1	1	—
<b>750 Administration of Justice</b>							
751 Federal Law Enforcement Activities	—	2	2	—	3	3	+1
754 Criminal Justice Assistance	5	13	18	5	13	18	—
<b>800 General Government</b>							
804 General Property and Records Management	—	2	2	—	2	2	—
808 Other General Government	—	1	1	—	—	—	-1
<b>999 Multiple Functions</b>	7	20	27	6	25	31	+4
<b>Total Categorical Grants</b>	<b>155</b>	<b>323</b>	<b>478</b>	<b>159</b>	<b>384</b>	<b>543</b>	<b>+65</b>

Source: ACIR tabulation based on *Catalog of Federal Domestic Assistance, United States Code*, and federal agency contacts.

*Table 5*  
**Number of Categorical Grant Programs, by Grant Type,  
 Existence of Non-Federal Match, and Eligible Recipients,  
 FY 1989 and FY 1991**

	Non-Federal Match				Eligible Recipients*							
	Yes		No		State Only		State and Local Governments		Local Only		State, Local and Other*	
	1989	1991	1989	1991	1989	1991	1989	1991	1989	1991	1989	1991
<b>Formula-Based</b>												
Allotted Formula	57	60	60	59	96	99	7	8	5	6	9	6
Formula/Project	16	15	5	7	8	9	5	4	0	0	8	9
Open End Reimbursement	12	12	5	6	13	14	1	1	0	0	3	3
<b>Total Formula-Based</b>	<b>85</b>	<b>87</b>	<b>70</b>	<b>72</b>	<b>117</b>	<b>122</b>	<b>13</b>	<b>13</b>	<b>5</b>	<b>6</b>	<b>20</b>	<b>18</b>
<b>Project</b>	<b>160</b>	<b>184</b>	<b>163</b>	<b>200</b>	<b>63</b>	<b>84</b>	<b>32</b>	<b>37</b>	<b>12</b>	<b>15</b>	<b>216</b>	<b>248</b>
<b>Total</b>	<b>245</b>	<b>271</b>	<b>233</b>	<b>272</b>	<b>180</b>	<b>206</b>	<b>45</b>	<b>50</b>	<b>17</b>	<b>21</b>	<b>236</b>	<b>266</b>
<b>Percent</b>	<b>51.3</b>	<b>49.9</b>	<b>48.7</b>	<b>50.1</b>	<b>37.6</b>	<b>37.9</b>	<b>9.4</b>	<b>9.2</b>	<b>3.6</b>	<b>3.9</b>	<b>49.4</b>	<b>49.0</b>

\* "Other" includes public and private nonprofit organizations.

Source: ACIR tabulation based on *Catalog of Federal Domestic Assistance, United States Code*, and federal agency contacts.

*Table 6*  
**Number of Grant Programs, by Type and Administering Agency,  
 FY 1989 and FY 1991**

BLOCK GRANTS			
	1989	1991	Change 1989-1991
Education	1	1	—
Health and Human Services			
Human Development Services	2	1	-1
Public Health Service	5	5	—
Family Support Administration	1	3	+2
Housing and Urban Development	2	2	—
Justice	1	—	-1
Labor	1	1	—
Transportation	1	1	—
<b>Total Block Grants</b>	<b>14</b>	<b>14</b>	<b>—</b>

CATEGORICAL GRANTS									
	1989		1991						Change 1989-1991
	Total		Total		Formula		Project		
	#	%	#	%	#	%	#	%	
Agriculture	38	7.9	40	7.4	23	14.5	17	4.4	+2
Appalachian Regional Commission	12	2.5	12	2.2	0	—	12	3.1	—
Commerce	20	4.2	30	5.5	3	1.9	27	7.0	+10
Education	112	23.4	125	23.0	34	21.4	91	23.7	+13
Energy	12	2.5	15	2.8	5	3.2	10	2.6	+3
Environmental Protection Agency	34	7.1	39	7.2	8	5.0	31	8.1	+5
Federal Emergency Management Agency	19	4.0	22	4.1	2	1.3	20	5.2	+3
Health and Human Services									
Family Support Administration	12	2.5	13	2.4	9	5.7	4	1.1	+1
Health Care Financing Administration	4	0.8	4	0.7	2	1.3	2	0.5	—
Human Development Services	38	7.9	42	7.7	21	13.2	21	5.5	+4
Health Resources and Services Administration	—	—	7	1.3	2	1.3	5	1.3	+7
Public Health Service	58	12.1	74	13.6	3	1.9	71	18.5	+16
Social Security Administration	1	0.2	1	0.2	—	—	1	0.3	—
Housing and Urban Development	14	2.9	15	2.8	3	1.9	12	3.1	+1
Interior	13	2.7	15	2.8	6	3.8	9	2.4	+2
Justice	17	3.6	17	3.1	4	2.5	13	3.4	—
Labor	15	3.1	16	3.0	8	5.0	8	2.1	+1
Transportation	30	6.2	30	5.5	19	12.0	11	2.9	—
Other*	29	6.1	26	4.8	7	4.4	19	5.0	-3
<b>Total</b>	<b>478</b>	<b>100.0</b>	<b>543</b>	<b>100.0</b>	<b>159</b>	<b>100.0</b>	<b>384</b>	<b>100.0</b>	<b>+65</b>

\* For 1991, includes ACTION, Department of Defense, Federal Mediation and Conciliation Service, National Foundation for Arts and Humanities, National Archives and Records Administration, Small Business Administration, and Department of Veterans Affairs.

Source: ACIR tabulation based on *Catalog of Federal Domestic Assistance, United States Code*, and federal agency contacts.

*Table 7*  
**Number of Categorical Grant Programs by Eligible Recipients,  
 Selected Fiscal Years, 1975-1991**

	1975		1978		1981		1984		1987		1989		1991	
	#	%	#	%	#	%	#	%	#	%	#	%	#	%
States Only	162	36.7	191	38.8	194	36.3	153	39.0	164	38.9	180	37.7	205	37.8
State and Local	62	14.0	67	13.6	69	12.9	42	10.7	44	10.4	45	9.4	49	9.0
Local Only	20	4.5	26	5.3	23	4.3	14	3.6	15	3.6	17	3.6	22	4.1
State and Local, and Public and Private Nonprofits	198	44.8	208	42.3	248	46.4	183	46.7	199	47.2	236	49.4	267	49.2
<b>Total</b>	<b>442</b>	<b>100.0</b>	<b>492</b>	<b>100.0</b>	<b>534</b>	<b>100.0</b>	<b>392</b>	<b>100.0</b>	<b>422</b>	<b>100.0</b>	<b>478</b>	<b>100.0</b>	<b>543</b>	<b>100.0</b>

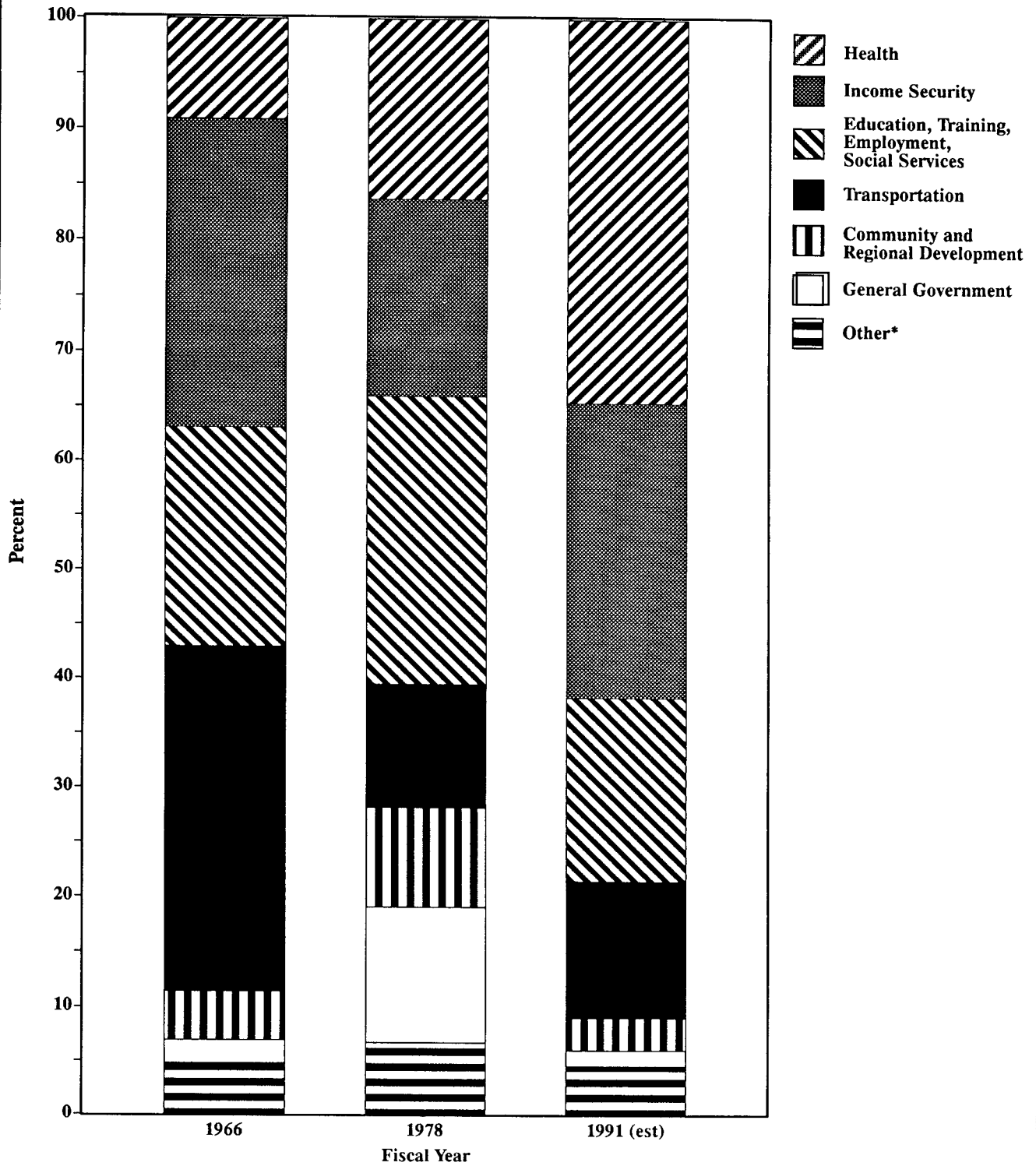
Source: ACIR tabulation based on *Catalog of Federal Domestic Assistance, United States Code*, and federal agency contacts.

Table 8  
A Quarter-Century of Federal Outlays for Grants to States and Localities,  
FY 1966, FY 1978, FY 1991  
(dollars in millions)

	1966		1978		1991 (est.)	
	Amount	Percent of Total	Amount	Percent of Total	Amount	Percent of Total
National Defense	\$25	0.2	\$60	0.1	\$304	0.2
International Affairs	6	—	—	—	—	—
Energy	10	0.1	180	0.3	451	0.3
Natural Resources and Environment	183	1.4	3,898	5.0	3,980	2.5
Construction Grants	70		3,187		2,353	
Other	113		711		1,627	
Agriculture	368	2.9	426	0.6	1,369	0.9
Commerce and Housing Credit	2	—	13	—	—	—
Transportation	4,072	31.6	8,837	11.4	19,818	12.5
Federal Aid Highways	4,002		6,110		14,264	
Urban Mass Transportation	16		1,972		4,002	
Airports	—		562		1,434	
Other	54		193		118	
Community and Regional Development	575	4.5	7,078	9.1	4,793	3.0
Local Public Works and Drought Aid	—		3,108		2	
Community Development	—		2,464		3,073	
Urban Renewal	313		392		—	
Public Facilities	8		46		6	
FEMA: Disaster Relief	132		209		16	
Other	122		859		1,696	
Education, Training, Employment and Social Services	2,583	20.1	20,557	26.4	26,832	16.9
Impact Aid	378		706		800	
Compensatory Education for the Disadvantaged	900		2,666		5,309	
School Improvement Programs	2		291		1,422	
Rehabilitation Services and Handicapped Research	159		868		1,762	
Vocational and Adult Education	128		691		893	
Social Services Block Grant	—		2,809		2,802	
Human Development Services	98		1,119		2,799	
Payments to States for Foster Care/Adoption Assistance	—		—		2,444	
Training and Employment Services	336		9,020		3,049	
Other	582		2,387		5,552	
Health	1,165	9.1	12,725	16.3	54,892	34.6
Medicaid	770		10,680		51,555	
Other	395		2,045		3,337	
Income Security	3,580	27.8	13,782	17.7	42,745	27.0
Child Nutrition	194		2,391		5,494	
Family Support Payments to States	2,758		6,369		14,110	
Subsidized Housing	226		1,721		9,848	
Operation of Low-Income Housing	—		691		2,013	
Unemployment Trust Fund Administration	239		939		2,084	
Low-Income Home Energy Assistance	—		193		1,669	
Other	163		1,478		4,157	
Veterans Benefits and Services	9	0.1	76	0.1	152	0.1
Administration of Justice	1	—	572	0.7	928	0.6
General Government	264	2.1	9,687	12.4	2,307	1.5
General Revenue Sharing	—		6,823	8.8	—	
Antirecession Financial Aid	—		1,329		—	
Federal Payment to the District of Columbia	44		304		568	
Other	220		1,231		1,839	
<b>Total</b>	<b>\$12,887</b>	<b>100.0</b>	<b>\$77,889</b>	<b>100.0</b>	<b>\$15,8572</b>	<b>100.0</b>
<b>In Deflated Dollars (1982 = 100.0)</b>	<b>\$36,820</b>		<b>\$107,880</b>		<b>\$119,137</b>	

Source: U.S. Office of Management and Budget, *Budget of the United States Government Fiscal Year 1992*, Part Seven, Table 12.3 (Washington, DC, 1991).

*Figure 5*  
**Federal Outlays for Grants to States and Localities, Percentage Distribution by Function, FY 1966, FY 1978, and FY 1991**



\*Includes National Defense, International Affairs, Energy, Natural Resources and Environment, Agriculture, Commerce and Housing Credit, Veterans Benefits and Services, and Administration of Justice.

Source: Table 8.

constituted 31.6 percent of the total in 1966, but failed to keep pace with other functions as it dropped to 11.4 percent in 1978, near where it was in 1991. Community and regional development followed another pattern, doubling its share over the first 12 years (4.5 percent to 9.1 percent), but dropping to 3.0 percent by 1991.

In sum, judging by federal grant outlays, the health function (i.e., Medicaid) is vastly more important in 1991 than it was 25 years ago; income security is about the same; education, training, employment services, and community and regional development are somewhat less important; and transportation is substantially less important.

The other principal development in grant outlays during the quarter-century, of course, was the initiation and expiration of General Revenue Sharing (included in General Government in Figure 4). GRS was not introduced until 1972; in 1978 it made up 8.8 percent of total grant outlays; and it was closed out in 1986.

### **The Fiscal Assistance Structure and ACIR's Proposals**

In its 1967 *Fiscal Balance* report, ACIR looked at federal grants in the context of the whole federal-state-local fiscal relationship. It called for the federal government to adopt a three-part system of fiscal assistance to state and local governments: categorical grants-in-aid, general functional block grants, and per capita general support payments (General Revenue Sharing). Concerned about the adverse consequences for state and local government as well as the federal government of the "profusion and excessive categorization of grants," ACIR urged the Congress and the President to "strive toward a drastic decrease in the numerous separate authorizations for federal grants. . . ."

In the mid-1970s, the Commission's report on the intergovernmental grant system examined the issue of categorical grants in greater depth. In that report, the Commission concluded that

federal categorical assistance still is excessively fragmented. This condition continues to generate program, management and organizational difficulties for grantor agencies and recipient jurisdictions. It severely complicates the task of achieving effective legislative oversight at all levels and hinders efforts to strengthen politically accountable Executive Branch officials.

The Commission finds that the continuing growth in the number of small, narrowly drawn, project grants is primarily responsible for the prolifera-

tion of categorical grants, and avoiding, when possible, the creation of additional project grants of this type offers a significant means of controlling that proliferation.<sup>1</sup>

The Commission then went on to recommend that

when considering new or reenacting old categorical grant programs, Congress and the President consciously evaluate the advantages and disadvantages of the four alternative types of categorical grants—project, formula, formula-project, and cost reimbursement—as well as the relative advantages and disadvantages of block grants, and select in each case the type which meets the intended national purposes best in light of the need to reduce the total number of programs and to facilitate simpler, fairer and more efficient administration of grant programs.<sup>2</sup>

The Commission urged the Congress and the President to adopt the general goal of reducing the number of categorical programs, suggested criteria for consolidating programs, and identified 102 categorical programs that seemed ripe for merger into 15 consolidations.

Over the past 25 years, the federal financial assistance system has had a mixed record vis-a-vis ACIR's proposals. GRS was adopted, but was allowed to expire after 14 years. Grant consolidation spurred in the early 1980s, mainly through the 1981 *Omnibus Budget Reconciliation Act*, but has shown little movement since then, although it is often mentioned in connection with proposals for the federal government to devolve more responsibility to the states. The main avenue of expansion continues to be the categorical grant, a key concern in ACIR's appraisal of the system. ACIR particularly criticized administrative problems caused by the small size and narrow focus of grants, particularly the project grants. Project grants continue to show the greatest growth among the several types of categoricals, constituting in 1991 the highest percentage of all such grants since ACIR started keeping count in 1975.

In short, while the grant system was simplified in the 1970s and early 1980s, giving states and localities more discretion in the use of funds, there has been an inclination recently to return to categorical grants in promoting federal policies through the financial assistance system.

<sup>1</sup> U.S. Advisory Commission on Intergovernmental Relations, *The Intergovernmental Grant System: An Assessment and Proposed Policies, Summary and Concluding Observations* (Washington, DC, June 1978, p. 15.

<sup>2</sup> *Ibid.*, p. 16.





# Methodology

In counting grant-in-aid programs to state and local governments for this report, ACIR used a slightly modified version of the definition used by the Office of Management and Budget (OMB) in its instructions to executive agencies for preparing and submitting budget estimates (Circular A-11). Following is OMB's definition:

... Grants to State and local governments are defined as outlays by the Federal Government in support of State or local programs of government operations or provision of services to the public. ...

Grants include:

- Direct cash grants to state or local governmental units, to other public bodies established under state or local law, or to their designee (e.g., grants for highway construction);
- Payments for grants-in-kind, such as purchases of commodities distributed to state or local governmental institutions (e.g., school lunch programs);
- Payments to nongovernmental entities when such payments result in cash or in-kind services or products that are passed on to state or local governments (e.g., payments to the Corporation for Public Broadcasting or to the American Printing House for the Blind);
- Payments to regional commissions and organizations that are redistributed at the state or local level to provide public services;
- Federal payments to state and local governments for research and development that is an integral part of the state and local governments' provision of services to the general public (e.g., research on crime control financed from law enforcement assistance grants or on mental health associated with the provision of mental rehabilitation services)—see discussion below for exclusions related to research and development and payments for services rendered;
- Loan subsidies to state or local governments; and
- Shared revenues, a subcategory of grants, which are computed as a percentage of the proceeds from the sale of certain federal property, products, or services (e.g., payments from receipts of

Oregon and California grant lands) or are tax collections by the federal government that are passed on to state or local governments (e.g., internal revenue collections for Puerto Rico).

Excluded under this definition are:

- Federal administrative expenses associated with these programs;
- Grants directly to profit-making institutions, individuals, and nonprofit institutions not covered above (e.g., payments to Job Corps Centers and trainees);
- Payments for research and development not directly related to the provision of services to the general public (e.g., basic research);
- Payments for services rendered (e.g., utility services, training programs and expenses for federal employees, research and development for federal purposes conducted under contracts, grants, or agreements by such agencies as the National Institutes of Health, the National Science Foundation, the Department of Energy, the National Aeronautics and Space Administration, and the Department of Defense); and
- Federal grants to cover administrative expenses for regional bodies and other funds not redistributed to the states, or their subordinate jurisdictions (e.g., the administrative expenses of the Appalachian Regional Commission).<sup>1</sup>

The OMB definition is used in this report with the following exceptions: shared revenues and loan subsidies have been excluded, and programs of aid to institutions of higher education have been included only if the aid is exclusively to public institutions of higher education or if state or local governments have a role in the process.

Funded programs meeting these criteria were identified in the 1991 *Catalog of Federal Domestic Assistance* (OMB-GSA, June 1991), which is a "government-wide compendium of federal programs, projects, services, and activities which provide assistance or benefits to the American public." Programs appearing in the catalog in 1991 and

<sup>1</sup> Executive Office of the President, Office of Management and Budget, *Circular No. A-11*, Revised, Transmittal Memorandum No. 62. Preparation and Submission of Budget Estimates, July 12, 1991, p. 71.

not in 1989 were checked against their legislative authorization (or executive order) to make certain that each such new program conformed to the rule followed in this ACIR series, that is, that each separately counted program must

have a separate legislative authorization. The source for the legislative authorization was the *United States Code, 1988 Edition, Supplement V*, and *United States Code, Congressional and Administrative News, 102nd Congress, 1991*.

# Data Included

This report lists the block and categorical grant programs funded on January 1, 1991. The programs are listed by budget subfunction number and within that by the corresponding number assigned by the *Catalog of Federal Domestic Assistance* (OMB-GSA June 1991). The following information is shown for each program:

1. Budget subfunction classification and title;
2. U.S. Code or Public Law reference;
3. Grant type (method of distribution);
4. Formula data elements, where applicable;
5. Eligible grant recipients;
6. Maximum federal share;
7. Administering agency; and
8. *Catalog of Federal Domestic Assistance* number.

The following brief descriptions of these terms may help the reader in using the report.

**Budget Subfunction**—These are the subfunctional categories and numbers that appear in the 1991 *Catalog of Federal Domestic Assistance*, Appendix III. For example, Budget Function 500 is Education, Training, Employment, and Social Services—General; Subfunction 501 is Elementary, Secondary, and Vocational Education.

**Grant Type**—*Formula* grants determine each eligible recipient's allotment by formula. *Project* grants require competitive applications. In some cases, competition for project grant funds is constrained by a formula that may, for instance, determine how much money applicants within each state may receive. These grants are termed *formula/project* grants. Eighteen of the 159 formula-based grant entries are marked with an asterisk to indicate their open-end character.

**Formula Data Elements**—These are the 13 major categories of statistical series used in formula grants as identified

by the U.S. General Accounting Office in its publication *Grants Formulas: A Catalog of Federal Aids to States and Localities* (Washington, DC, 1987, GAO/HRD-87-28). The report is as of fiscal year 1984. ACIR has applied the GAO classification to formula grant programs added between FY 1984 and FY 1991.

**Federal Share**—The numbers listed in this column indicate the maximum percentage of program costs to be paid by federal funds. The number 100 indicates a grant with no matching requirements. It does not mean the federal grant actually covers 100 percent of the cost of the aided program or activity; it means the recipient is not required to match any portion of the federal grant. The "cost shared" (CS) category includes all those grants where some recipient contribution is required, but the exact amount is not specified. In such cases, the match may be the subject of negotiation, or may be set by the appropriate Secretary. Other symbols are used to indicate when a matching ratio is variable for different recipients, changes over time, or is different for different activities or types of recipients.

**Agency**—This column indicates the responsible administering agency for each grant. Except for the Department of Health and Human Services, subdepartmental units are not indicated.

**CFDA Number**—This is the number assigned the program in the 1991 *Catalog of Federal Domestic Assistance*. For example, CFDA numbers for Budget Subfunction 501, Elementary, Secondary, and Vocational Education, include a new program 84.237 - Children and Youth with Serious Emotional Disturbance.

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The key on page 21 lists and explains the full range of entries for type of grant, formula data elements, recipient, federal share, and administering agency.



# Key to Listing

## Type of Grant

- F** Formula grant  
**P** Project grant  
**F/P** Project grant subject to distribution formula

## Formula Data Elements

- A. Population**—includes series such as total population, population by age, race, density, urban, rural, farm, handicapped, immigrant, and in poverty.
- B. Income**—includes per capita income, median family income, aggregate personal income, and wages earned or paid.
- C. Environment**—includes land area, land use, environmental conditions, environmental hazardous waste data, size of water systems, volume of oil and gas production, and miles of coastline.
- D. Education**—includes enrollment, graduates, and educational expenditures.
- E. Labor**—includes civilian labor force, unemployment levels, and employment statistics.
- F. Transportation**—includes series, such as miles of highways and rail, aviation facilities, number of vehicles, and number of miles traveled.
- G. Energy**—includes energy consumption, heating and cooling degree days, levels of energy conservation, fuel costs, and residential heating/cooling equipment.
- H. Government**—includes taxation, expenditures, government employment, and salary levels.
- I. Health**—includes illness data, vital statistics, and levels of health-related expenditures.
- J. Price Indices**—includes consumer prices.
- K. Housing**—includes number of units, condition of units, vacancy rates, and age of housing stock.
- L. Economic Sectors**—includes business activity, manufacturing, retail trade, and agricultural statistics.
- M. Miscellaneous Measures of Program Costs/Activity Levels**—includes series such as number of meals or number of pints of milk served, other income received by an agency, estimated costs to complete a project, the number of eligible recipients, and prior-year program expenditures.

## Recipient

- 1** State governments  
**2** State and local governments  
**3** Local governments  
**4** Governmental and others (i.e., public and private nonprofit organizations)

## Federal Share

- 33-67** Dash indicates match is variable over the stated range.
- 80,30** Comma indicates federal percentage declines over time from the first percentage to the second.
- 50/75** Slash indicates federal share is different for different types of activities.
- 50:75** Colon indicates federal share is different for different types of recipients.
- CSCS** Cost-Shared—federal share less than 100%, but is not specified; it may be negotiable.

## Administering Agency

- ACT** Action  
**AGR** Department of Agriculture  
**ARC** Appalachian Regional Commission  
**COM** Department of Commerce  
**DOD** Department of Defense  
**DOE** Department of Energy  
**DOJ** Department of Justice  
**DOL** Department of Labor  
**DOT** Department of Transportation  
**ED** Department of Education  
**EPA** Environmental Protection Agency  
**FEMA** Federal Emergency Management Agency  
**FMCS** Federal Mediation and Conciliation Service  
**FSA** Family Support Administration (HHS)  
**GSA** General Services Administration  
**HCFA** Health Care Financing Administration (HHS)  
**HHS** Department of Health and Human Services  
**HUD** Department of Housing and Urban Development  
**INT** Department of Interior  
**NARA** National Archives and Records Administration  
**NFAH** National Foundation for the Arts and Humanities  
**OHDS** Office of Human Development Services (HHS)  
**PHS** Public Health Service (HHS)  
**SBA** Small Business Administration  
**SSA** Social Security Administration (HHS)  
**VA** Department of Veterans Affairs



**Federal Grant-in-Aid Programs  
to State and Local Governments:  
Grants Funded FY 1991**

**Federal Grants-in-Aid Funded  
FY 1991**

**BLOCK GRANTS**

Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Adminis- tering Agency	CFDA Number
<b>401 Ground Transportation</b>							
1. Urban Mass Transportation Capital and Operating Assistance	49 USC Appx 1604	Block	FM	3	50/80	DOT	20.507
<b>451 Community Development</b>							
1. Community Development/Entitlement	42 USC 5303-6	Block	AK	3	100	HUD	14.218
2. Community Development/States' Program	42 USC 5306	Block	AK	1	100	HUD	14.228
<b>501 Elementary, Secondary, and Vocational Education</b>							
1. Improving School Programs: State Block Grants	20 USC 3813-15	Block	A	1	100	ED	84.151
<b>504 Training and Employment</b>							
1. JTPA, Title IIA: Training Services for Disadvantaged Adult and Youth	29 USC 1501-1605	Block	AE	1	100	DOL	17.250
<b>506 Social Services</b>							
1. Community Services Block Grant	42 USC 9801, 9901	Block	M	1	100	OHDS	93.031
2. Social Services Block Grant	42 USC 1397-1397f	Block	A	1	100	OHDS	93.667
<b>550 Health</b>							
1. Mental Health Services for the Homeless	42 USC 290 dd	Block	A	1	75	PHS	93.150
2. Community Youth Activity	PL 100-690, sec. 3521	Block	M	1	100	PHS	93.171
3. Preventive Health and Health Services	42 USC 300w	Block	AM	1	100	PHS	93.991
4. Alcohol, Drug Abuse, and Mental Health Services	42 USC 300x	Block	AB	1	100	PHS	93.992
<b>551 Health Care Services</b>							
1. Maternal and Child Health Services	42 USC 701	Block	AM	1	57	PHS	93.994
<b>609 Other Income Security</b>							
1. Low-Income Home Energy Assistance Program	42 USC 8621	Block	AG	1	100	FSA	93.028
2. Payments to States for Day Care Assistance	42 USC 658A	Block	A	1	100	FSA	93.037



CATEGORICAL GRANTS

Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Administering Agency	CFDA Number
<b>051 Department of Defense—Military</b>							
1. Military Construction, Army National Guard	10 USC 2233, 2236	P		1	75/100	DOD	12.400
2. Military Base Reuse Studies and Community Planning Assistance	10 USC 2391	P		2	75	DOD	12.607
3. Joint Military/Community Comprehensive Land Use Plans	10 USC 2391	P		2	50	DOD	12.610
<b>053 Atomic Energy Defense Activities</b>							
1. Technology Integration	15 USC 3710(d)(4)	P		4	100	DOE	81.103
<b>270 Energy</b>							
1. State Energy Conservation	42 USC 6323	F	AG	1	80	DOE	81.041
2. Energy Extension Service	42 USC 7005	F	A	1	80	DOE	81.050
<b>271 Energy Supply</b>							
1. Nuclear Waste Disposal Siting	42 USC 10136	P		1	100	DOE	81.065
2. Biofuels and Municipal Waste Technology and Regional Programs	PL 99-591	P		4	CS	DOE	81.079
3. Conservation Research and Development	PL 98-146	P		4	100	DOE	81.086
4. Renewable Energy Research and Development	PL 99-500	P		4	100	DOE	81.087
5. Fossil Energy Research and Development	PL 94-163	P		4	100	DOE	81.089
6. Remedial Action and Waste Technology	42 USC 2051	P		4	CS	DOE	81.092
7. Innovative Clean Coal Technology	42 USC 5901	P		4	50	DOE	81.096
<b>272 Energy Conservation</b>							
1. Energy Conservation Projects for Schools and Hospitals	42 USC 6371e(a), 6371g	F/P	AGG	4	50	DOE	81.052
2. Energy Conservation Program for Schools and Hospitals: Technical Assistance	42 USC 6371e(d)	F/P	AGG	4	50	DOE	81.052
3. Energy Task Force for Urban Consortium	42 USC 7112(11)	P		3	100	DOE	81.081
<b>276 Energy Information and Regulation</b>							
1. Enhance Technology Transfer and Dissemination of Nuclear Energy Process and Safety Information	42 USC 2051(a) (5) and (b)	P		4	100	DOE	77.003
2. Minority Math-Science Leadership Development Recognition	42 USC 7141	P		4	100	DOE	81.099
<b>301 Water Resources</b>							
1. Watershed Protection and Flood Prevention	16 USC 1003-4	P		2	50-100	AGR	10.904
2. Small Reclamation Projects	43 USC 422e (b)	P		2	50-75	INT	15.503
<b>302 Conservation and Land Management</b>							
1. Forestry Research	7 USC 450i, 16 USC 1643	P		4	CS	AGR	10.652
2. Rural Forestry Assistance	16 USC 2102	P		1	50	AGR	10.664
3. Urban Forestry Assistance	16 USC 2105	P		1	50	AGR	10.664
4. Rural Fire Prevention and Control	16 USC 2106	P		1	50	AGR	10.664
5. Cooperative Forestry Assistance	16 USC 2107	P		1	80-CS	AGR	10.664
6. Resource Conservation and Development	7 USC 1011(e)	P		4	50/100	AGR	10.901
7. Coastal Zone Management Program Administration	16 USC 1455	F	AC	1	80	COM	11.419

Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Adminis- tering Agency	CFDA Number
<b>302 Conservation and Land Management (cont.)</b>							
8. Wildlife Habitat Management Technical Assistance	16 USC 670	P		1	100	INT	15.219
9. Cooperative Agreements for Research in Public Lands Management	43 USC 1737	P		4	100	INT	15.221
10. Cooperative Inspection Agreements with States and Tribes	30 USC 1732	P		1	50	INT	15.222
11. Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining	30 USC 1295	P		1	80,60,50/100	INT	15.250
12. Abandoned Mine Land Reclamation	30 USC 1232(g)	P		1	100	INT	15.252
<b>303 Recreational Resources</b>							
1. Anadromous Fish Conservation	16 USC 757a-g	P		1	50-67	INT	15.600
2. Sport Fish Restoration	16 USC 777, 777b-e	F	AC	1	75	INT	15.605
3. Wildlife Restoration	16 USC 669, 669b,c	F	AC	1	75	INT	15.611
4. Hunter Safety Program	16 USC 669g, 669c	F	A	1	75	INT	15.611
5. Endangered Species Conservation	16 USC 1535(c),(d)	P		1	67:75	INT	15.612
6. Historic Preservation Fund	16 USC 470a(d)	F/P	MM	1	70	INT	15.904
7. Outdoor Recreation: Acquisition, Development, and Planning	16 USC 460 1-8	F/P	A	1	50	INT	15.916
8. Urban Park and Recreation Recovery Program	16 USC 2504	P		3	50/70	INT	15.919
<b>304 Pollution Control and Abatement</b>							
1. Health Program for Toxic Substances and Disease Registry	42 USC 9601	P		4	100	PHS	13.161
2. Air Pollution Control Program Support	42 USC 7405	P		2	50/60/67/75	EPA	66.001
3. State Indoor Radon Grants	15 USC 2666	P		1	75,60	EPA	66.032
4. Construction Grants for Waste Water Treatment Works	33 USC 1281(g)	F/P	AM	2	55/75	EPA	66.418
5. Water Pollution Control: State and Interstate Program Support	33 USC 1256	F	CCCC	1	100	EPA	66.419
6. State Public Water System Supervision	42 USC 300j-2(a)	F/P	ACC	1	75	EPA	66.432
7. State Underground Water Source Protection	42 USC 300j-2(b)	F	ACM	1	75	EPA	66.433
8. Water Pollution Control: Lake Restoration	33 USC 1324(b)	P		1	5/70	EPA	66.435
9. Construction Management Assistance	33 USC 1285(g)	P		1	100	EPA	66.438
10. Water Quality Management Planning	33 USC 1285(j)	P		1	100	EPA	66.454
11. National Estuary Program	33 USC 1330	P		4	75	EPA	66.456
12. Capitalization Grants for State Revolving Funds	33 USC 1381	F	M	1	80	EPA	66.458
13. Wetlands Protection—State Development	33 USC 1254(b)(3)	P		1	75	EPA	66.461
14. Pesticides Enforcement Program	7 USC 136U	P		1	85	EPA	66.700
15. Asbestos School Hazards Abatement	20 USC 4014	P		3	50	EPA	66.702
16. Toxic Release Inventory Data Quality Capabilities Program	15 USC 2609(b), 2627	P		1	75	EPA	66.705
17. Enhancement Grants for State Asbestos Programs	15 USC 2627	P		1	75	EPA	66.706
18. Hazardous Waste Management—State Program Support	42 USC 6931	F	ACM	2	75	EPA	66.801
19. Solid Waste Disposal: Program Implementation	42 USC 6948(a)(2)(A)	F	ACM	2	100	EPA	66.801
20. Solid Waste Disposal: Rural Communities Assistance	42 USC 6949	F/P	ACM	1	75	EPA	66.801
21. Hazardous Substance Response Trust Fund	42 USC 9604	P		1	50-90	EPA	66.802
22. State Underground Storage Tanks Program	42 USC 6991	P		1	95	EPA	66.804
23. Underground Storage Tank Trust Fund Program	PL 99-499	P		1	90	EPA	66.805
24. Core Program Cooperative Agreements	42 USC 9604(c)	P		1	90	EPA	66.809
25. Pollution Prevention Incentives for States	PL 101-508, sec. 6605	P		1	50	EPA	66.900
26. State/EPA Data Management Financial Assistance Program	42 USC 9611	P		2	95	EPA	66.925

Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Administering Agency	CFDA Number
<b>306 Other Natural Resources</b>							
1. Geodetic Surveys and Services	33 USC 883e	P		4	100	COM	11.400
2. Anadromous and Great Lakes Fisheries Conservation	16 USC 757a,d	P		4	50/67/90	COM	11.405
3. Interjurisdictional Fisheries Act of 1986	16 USC 779a, 779b(a), (c), and (d)	F	LM	1	75/90	COM	11.407
4. Sea Grant Support	33 USC 1122-24	P		4	67/100	COM	11.417
5. Coastal Zone Management Estuarine Research Reserves	16 USC 1461	P		1	50	COM	11.420
6. Financial Assistance for Marine Pollution Research	33 USC 1443	P		4	95	COM	11.426
7. Intergovernmental Climate Programs	15 USC 2905	P		4	100	COM	11.428
8. Marine Sanctuary Program	16 USC 1431	P		4	100	COM	11.429
9. Undersea Research	33 USC 883e	P		4	100	COM	11.430
10. Climate and Atmospheric Research	15 USC 2905	P		4	100	COM	11.431
11. Environmental Research Laboratories Joint Institutes	15 USC 1525	P		1	CS	COM	11.432
12. Cooperative Fishery Statistics	16 USC 1854(d)(e), 1861(a)	P		1	100	COM	11.434
13. Southeast Area Monitoring and Assessment Program	16 USC 1854(d)(e)	P		1	100	COM	11.435
14. Columbia River Fisheries Development Program	16 USC 756-757	P		4	100	COM	11.436
15. West Coast Fisheries Data Program	16 USC 1854	P		4	100	COM	11.437
16. Pacific Salmon Treaty Program	16 USC 3634(a)	P		4	100	COM	11.438
17. Marine Mammal Data Program	16 USC 1383a(i)	P		4	100	COM	11.439
18. Research in Remote Sensing of the Earth and Environment	15 USC 313, 49 USC 1463	P		4	100	COM	11.440
19. Mining and Mineral Resources and Research Institutes	30 USC 1221	F/P		1	33/100	INT	15.308
<b>351 Farm Income Stabilization</b>							
1. Temporary Emergency Food Assistance (Administrative Costs)	7 USC 612c note	F	BE	1	100	AGR	10.568
2. Temporary Emergency Food Assistance (Food Commodities)	7 USC 612c note	F	EAB	1	100	AGR	10.569
<b>352 Agriculture Research and Services</b>							
1. Agricultural Research: Basic and Applied Research	7 USC 427i	P		1	100	AGR	10.001
2. Plant and Animal Disease and Pest Control	7 USC 147a and various others	P		4	CS	AGR	10.025
3. Animal Damage Control	7 USC 426, 426b, 426c	P		4	CS	AGR	10.028
4. Federal-State Marketing Improvement Program	7 USC 1623(b)	P		1	50	AGR	10.156
5. Agricultural Research: Special Research	7 USC 450i	P		4	CS	AGR	10.200
6. Cooperative Forestry Research	16 USC 528a-1,3	F	CM	1	50	AGR	10.202
7. Payments to Agricultural Experiment Stations under Hatch Act	7 USC 361c	F	A	1	100-50	AGR	10.203
8. Agricultural Research: Competitive Research Grants	7 USC 450i	P		4	100	AGR	10.206
9. Animal Health and Disease Research	7 USC 3195	F	M	4	100	AGR	10.207
10. Low-Input Farming Systems—Research and Education	7 USC 4701	P		4	100	AGR	10.215
11. Cooperative Extension Service	7 USC 341-43	F	A	1	27	AGR	10.500
<b>371 Mortgage Credit and Thrift Insurance</b>							
1. Farm Labor Housing	42 USC 1486(a)	P		4	90	AGR	10.405
<b>376 Other Advancement and Regulation of Commerce</b>							
1. Fisheries Development and Utilization Research and Development	15 USC 713c-3	P		4	80	COM	11.427
2. Measurement and Engineering Research and Standards	15 USC 271 et seq.	P		4	100	COM	11.609

Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Adminis-tering Agency	CFDA Number
<b>376 Other Advancement and Regulation of Commerce (cont.)</b>							
3. State Technology Extension Program	PL 100-418, sec. 5121(a)	P		1	50	COM	11.613
4. Minority Business Development Centers	PL 100-459	P		2	75	COM	11.800
5. Management and Technical Assistance for Socially and Economically Disadvantaged Businesses	PL 95-507,sec 7(j)	P		4	100	SBA	59.007
6. Small Business Development Center	15 USC 648	P		4	50	SBA	59.037
7. Natural Resource Development	PL 101-515	F	A	1	75	SBA	59.045
<b>401 Ground Transportation</b>							
1. Federal Aid Highways: Interstate System: Highway Substitution	23 USC 103(e)(4)	F	F	1	85/100	DOT	20.205
2. Federal Aid Highways: Primary System	23 USC 104(b)(1)	F	ACF	1	75	DOT	20.205
3. Federal Aid Highways: Secondary System	23 USC 104(b)(2)	F	ACF	1	75	DOT	20.205
4. Federal Aid Highways: Interstate System: Construction	23 USC 104(b)(5)(A)	F	F	1	90	DOT	20.205
5. Federal Aid Highways: Interstate System: Resurfacing, Restoring, Rehabilitating, Reconstructing	23 USC 104(b)(5)(B)	F	F	1	90	DOT	20.205
6. Federal Aid Highways: Urban Systems	23 USC 104(b)(6),150	F	A	1	75	DOT	20.205
7. Transportation Planning in Urban Areas	23 USC 104(f)	F/P	A	1	70/90	DOT	20.205
8. Federal Aid Highways: Emergency Relief	23 USC 125, 120(f)	P		1	100	DOT	20.205
9. Federal Aid Highways: Eliminating Hazards of Railway-Highway Crossings	23 USC 130	F	ACCF	1	90	DOT	20.205
10. Federal Aid Highways: Special Bridge Replacement and Rehabilitation	23 USC 144(e)	F/P	M	1	80	DOT	20.205
11. Pavement Marking Demonstration	23 USC 151	P		1	75	DOT	20.205
12. Federal Aid Highways: Hazard Elimination	23 USC 152(e)	F	AF	1	90	DOT	20.205
13. Federal Aid Highways: Minimal Allocation	23 USC 157	F	M	1	100	DOT	20.205
14. Highway Planning and Research	23 USC 307(c)	F	M	1	70/100	DOT	20.205
15. Motor Carrier Safety Assistance Program	49 USC APPX 2301-04	F	AFM	2	80	DOT	20.218
16. Railroad Safety—State Participation	45 USC 435(d)	P		1	50	DOT	20.303
17. Local Rail Service Assistance	49 USC APPX 1654	F	F	1	70	DOT	20.308
18. Urban Mass Transportation: Capital Improvement Grants	49 USC APPX 1602	P		2	75	DOT	20.500
19. Urban Mass Transportation: Special Projects for Elderly and Handicapped	49 USC APPX 1612	P		4	75	DOT	20.500
20. Urban Mass Transportation: Managerial Training	49 USC APPX 1607b	P		2	75	DOT	20.503
21. Urban Mass Transportation: Technical Studies	49 USC APPX 1607(d)	P		2	80	DOT	20.505
22. Public Transportation for Nonurbanized Areas	49 USC APPX 1614	F	A	3	50/80	DOT	20.509
23. Urban Mass Transportation: Human Resource Program	49 USC 1616	P		4	100	DOT	20.511
24. Urban Mass Transportation: Technical Assistance	49 USC 1605	P		2	100	DOT	20.512
25. Highway Safety: Basic Grants	23 USC 402	F	AF	1	75/50	DOT	20.600
26. Highway Safety: Incentive Grants for Alcoholic Safety	23 USC 408	P		1	75,50,25	DOT	20.600
<b>402 Air Transportation</b>							
1. Airport Improvement Program	49 USC 2204	F	A	1	'	DOT	20.106

Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Adminis- tering Agency	CFDA Number
<b>403 Water Transportation</b>							
1. Boating Safety Financial Assistance	46 USC 13101-10	F	HM	1	50	DOT	20.005
2. Development and Promotion of Ports and Intermodal Transportation	PL 96-371	P		4	50	DOT	20.801
<b>407 Other Transportation</b>							
1. Gas Pipeline Safety	49 USC APPX 1671	F	M	1	50	DOT	20.700
<b>451 Community Development</b>							
1. Housing Development Grants	42 USC 1437o	P		2	50	HUD	14.174
2. Community Development/Small Cities Program (HUD Administered)	42 USC 5306(d)	F/P	AK	2	100	HUD	14.219
3. Secretary's Discretionary Fund: Community Development and Technical Assistance	42 USC 5307(a)(8)	P		4	100	HUD	14.227
4. Rental Housing Rehabilitation	42 USC 1437o	F	K	2	50/75	HUD	14.230
5. Emergency Shelter	PL 99-500	P		2	100	HUD	14.231
6. Community Development Work-Study Program	42 USC 5307	P		4	100	HUD	14.234
7. General Research and Technology Activity (Housing and Urban Development)	12 USC 1701z-1	P		4	100	HUD	14.506
8. Supplemental Assistance for Facilities to Assist the Homeless	42 USC 11392	P		4	100	HUD	14.510
<b>452 Area and Regional Development</b>							
1. Water and Waste Disposal Systems for Rural Communities	7 USC 1926(a)(2)	F/P	A	4	100	AGR	10.418
2. Industrial Development	7 USC 1989	F/P	AB	2	100	AGR	10.424
3. Emergency Community Water Assistance	7 USC 1926(a)	P		4	100	AGR	10.440
4. Economic Development: Grants for Public Works and Development Facilities	42 USC 3131(a)(b), and (c); 3171(a)(3)	P		4	50:80	COM	11.300
5. Economic Development: Support for Planning Organizations	42 USC 3151(b)	P		4	75	COM	11.302
6. Economic Development: Technical Assistance	42 USC 3151(a)	P		4	75	COM	11.303
7. Economic Development: Public Works Impact Projects	42 USC 3131	P		3	80	COM	11.304
8. Economic Development: State and Local Economic Development Planning	42 USC 3151a(a)	P		2	75	COM	11.305
9. Special Economic Development and Adjustment Assistance	42 USC 3243	F/P	ABE	4	75	COM	11.307
10. Appalachian Supplemental Grants (Community Development)	40 USC APPX 214	P		4	50/80	ARC	23.002
11. Appalachian Regional Development: Highway System	40 USC APPX 201	P		1	80	ARC	23.003
12. Appalachian Health Programs	40 USC APPX 202	P		4	50/80	ARC	23.004
13. Appalachian Housing Projects: Planning and Obtaining Financing	40 USC APPX 207	P		4	80	ARC	23.005
14. Appalachian Housing Projects: Site Development and Offsite Improvements	40 USC APPX 207	P		4	100	ARC	23.005
15. Appalachian Regional Projects: Local Access Roads	40 USC APPX 201	P		4	80	ARC	23.008
16. Appalachian Local Development: District Assistance	40 USC APPX 302 (a)(1)	P		3	50	ARC	23.009

Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Adminis- tering Agency	CFDA Number
<b>452 Area and Regional Development (cont.)</b>							
17. Appalachian Mine Area Restoration	40 USC APPX 205	P		4	80	ARC	23.010
18. Appalachian Regional Development: State Research, Technical Assistance, and Demonstration Projects	40 USC APPX 302 (a)(2)	P		2	75/100	ARC	23.011
19. Appalachian Vocational and Other Education Facilities and Operations	40 USC APPX 211(a)	P		4	50	ARC	23.012
20. Appalachian Vocational and Technical Education Demonstration Projects: Planning, Construction and Operation	40 USC APPX 211(b)	P		2	80	ARC	23.012
21. Appalachian Child Development	40 USC APPX 202	P		1	80	ARC	23.013
<b>453 Disaster Relief and Insurance</b>							
1. State Disaster Preparedness Grants	42 USC 5131(d)	P		1	50	FEMA	83.505
2. Disaster Assistance for Performance of Essential Services	42 USC 5170b	P		2	75	FEMA	83.516
3. Disaster Assistance: Repair, Reconstruct, or Replace Public Facilities	42 USC 5172	P		2	75	FEMA	83.516
4. Disaster Assistance: Debris Removal	42 USC 5173	P		2	75	FEMA	83.516
5. Disaster Assistance to States for Individual and Family Grant Program (Administration)	42 USC 5178(a)	P		1	75	FEMA	83.516
6. Disaster Assistance: Crisis Counseling Assistance and Training	42 USC 5183	P		4	100	FEMA	83.516
7. Disaster Assistance: Fire Suppression	42 USC 5187	P		1	70	FEMA	83.516
8. Disaster Assistance: Removal of Damaged Timber	42 USC 5188(d)	P		2	75	FEMA	83.516
<b>500 Education, Training, Employment and Social Services—General</b>							
1. Special Programs for the Aging: Congregate Nutrition Services	42 USC 3030e	F	A	1	85	OHDS	93.635
2. Special Programs for the Aging: Home Delivered Nutrition Services	42 USC 3030f	F	A	1	75/85	OHDS	93.635
<b>501 Elementary, Secondary, and Vocational Education</b>							
1. Adult Education: State Administered Programs	20 USC 1203, 4,5,6,1211(a)	F	A	1	90	ED	84.002
2. Bilingual Education	20 USC 3222,3223,3231	P		2	100	ED	84.003
3. Civil Rights Technical Assistance and Training	42 USC 2000 c-4	P		4	100	ED	84.004
4. Education of Handicapped Children in State Operated or Supported Schools	20 USC 2771, 2772	F	AD	1	100	ED	84.009
5. Educationally Deprived Children: Local Educational Agencies	20 USC 2711	F	AAD	3	100	ED	84.010
6. Migrant Education: Basic State Formula Grant Program	20 USC 2761,2762	F	AD	1	100	ED	84.011
7. Educationally Deprived Children: State Administration	20 USC 2844	F	M	1	100	ED	84.012
8. Neglected and Delinquent Children	20 USC 2781, 2782	F	D	1	100	ED	84.013
9. Follow Through	42 USC 2929	P		4	80	ED	84.014
10. Handicapped: Innovation and Development	20 USC 1441	P		4	CS	ED	84.023
11. Handicapped: Physical Education and Recreation	20 USC 1442	P		4	CS	ED	84.023
12. Handicapped: Early Childhood Education	20 USC 1423	P		4	90	ED	84.024
13. Handicapped Education—Deaf-Blind Centers	20 USC 1422	P		4	100	ED	84.025
14. Handicapped Media Services and Captioned Films	20 USC 1452 (b)(3)(b)(4), (b)(5)	P		4	100	ED	84.026
15. Handicapped: State Grants	20 USC 1411-14	F	AD	2	100	ED	84.027

Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Adminis- tering Agency	CFDA Number
<b>501 Elementary, Secondary, and Vocational Education (cont.)</b>							
16. Handicapped: Regional Resource and Federal Centers	20 USC 1421	P		4	100	ED	84.028
17. Handicapped Education—Special Education Personnel Development	20 USC 1432	P		4	100	ED	84.029
18. Clearinghouses for the Handicapped	20 USC 1433	P		4	100	ED	84.030
19. School Assistance in Federally Affected Areas: Construction	20 USC 631, 633 634, 635, 636, 637	F	DM	3	100	ED	84.040
20. School Assistance in Federally Affected Areas: Construction (Indian Lands)	20 USC 644	P		3	100	ED	84.040
21. School Construction Assistance in Cases of Disasters	20 USC 646	P		3	100	ED	84.040
22. School Assistance in Federally Affected Areas: Maintenance and Operation	20 USC 238, 240	F	D	3	100	ED	84.041
23. Vocational Education: Basic Grants to States	20 USC 2330	F	AB	1	50/100	ED	84.048
24. Vocational Education: Consumer and Homemaking Education	20 USC 2380	F	AB	1	50/90	ED	84.049
25. National Vocational Education Research	20 USC 2401	P		4	100	ED	84.051
26. Vocational Education: State Councils	20 USC 2305	F	AB	1	100	ED	84.053
27. Indian Education: Local Educational Agencies and Tribal Schools	20 USC 241bb	F	AD	3	100	ED	84.060
28. Indian Education: Special Programs and Projects	20 USC 3385	P		4	100	ED	84.061
29. Indian Education: Adult Indian Education: (Planning, Pilot, and Demonstration Projects)	20 USC 1211a(a),(d)	P		4	100	ED	84.062
30. Indian Education: Adult Indian Education: (Dissemination of Information; Program Evaluation)	20 USC 1211a(c)	P		4	100	ED	84.062
31. Indian Education: Grants to Indian Controlled Schools	20 USC 241aa	P		3	100	ED	84.072
32. National Diffusion Program (National Diffusion Network)	20 USC 2943,3041	P		4	100	ED	84.073
33. Bilingual Vocational Training	20 USC 2414	P		4	100	ED	84.077
34. Postsecondary Education Programs for Persons with Disabilities	20 USC 1424a	P		4	100	ED	84.078
35. Women's Educational Equity	20 USC 3342	P		4	100	ED	84.083
36. Handicapped Education—Severely Handicapped Program	20 USC 1424	P		4	100	ED	84.086
37. Bilingual Vocational Instructor Training	20 USC 2416	P		4	100	ED	84.099
38. Bilingual Vocational Materials, Methods, Techniques	20 USC 2418	P		4	100	ED	84.100
39. Law Related Education	20 USC 3002	P		4	100	ED	84.123
40. Migrant Education: Interstate and Intrastate Coordination Program	20 USC 2763	P		1	100	ED	84.144
41. Secondary Education and Transitional Services for Handicapped Youth	20 USC 1425-27	P		4	100	ED	84.158
42. Handicapped: Special Studies	20 USC 1418(b)	P		1	60	ED	84.159
43. Emergency Immigrant Education Assistance	20 USC 4105	F	A	1	100	ED	84.162
44. Strengthening Skills of Teachers and Instruction in Mathematics and Science	20 USC 3963	F	A	1	100	ED	84.164
45. Magnet Schools Assistance	20 USC 4051	P		3	100	ED	84.165
46. National Programs for Strengthening Teaching and Administration in Mathematics and Science	20 USC 3972	P		4	100	ED	84.168
47. Handicapped: Preschool Grants	20 USC 1419	F	D	1	100	ED	84.173
48. Vocational Education: Community-Based Organizations	20 USC 2351	F/P	A	1	50/100	ED	84.174
49. Leadership in Educational Administration Development	20 USC 4201-06	P		4	50	ED	84.178
50. Technology, Educational Media and Materials for the Handicapped	20 USC 1461	P		4	100	ED	84.180

Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Adminis- tering Agency	CFDA Number
<b>501 Elementary, Secondary, and Vocational Education (cont.)</b>							
51. Handicapped Infants and Toddlers	20 USC 1473-5	P		1	100	ED	84.181
52. National Programs for Drug-Free Schools and Communities	20 USC 4641	P		4	100	ED	84.184
53. Drug-Free Schools and Communities: State Grants	42 USC 3712 et seq.	F	A	1	100	ED	84.186
54. Drug-Free Schools and Communities: Regional Centers	20 USC 4602	F/P	A	4	100	ED	84.188
55. Adult Education for the Homeless	42 USC 11421	F	AAD	1	100	ED	84.192
56. Demonstration Centers for Retraining Dislocated Workers	20 USC 2415	P		4	100	ED	84.193
57. Bilingual Education Support Services	20 USC 3231	P		4	100	ED	84.194
58. Bilingual Education Training	20 USC 3251	P		4	100	ED	84.195
59. State Activities: Education of Homeless Children and Youth	42 USC 11432	F	AAD	1	100	ED	84.196
60. Workplace Literacy Partnership	PL 100-297	P		4	70/100	ED	84.198
61. Vocational Education Cooperative Demonstration	20 USC 2450	P		4	75	ED	84.199
62. School Dropout Demonstration Assistance	PL 100-297	P		4	90,70	ED	84.201
63. School, College, and University Partnership	20 USC 1105b	P		4	70,60,50	ED	84.204
64. Jacob K. Javits Gifted and Talented Students	20 USC 3062	P		4	100	ED	84.206
65. Drug-Free Schools and Communities—School Personnel Training	20 USC 5128	P		2	100	ED	84.207
66. First Schools and Teachers	20 USC 4811	P		4	100	ED	84.211
67. First Family School Partnerships	20 USC 4823	P		3	100	ED	84.212
68. Even Start—Local Education	20 USC 2742	P		3	90,80,70,60	ED	84.213
69. Even Start—Migrant Education	20 USC 2743	P		1	100	ED	84.214
70. Innovation in Education: Secretary's Fund	20 USC 3851	P		4	100	ED	84.215
71. Private School—Capital Expenses	20 USC 2727	F	A	4	100	ED	84.216
72. State Improvement	20 USC 2825	F	M	1	100	ED	84.218
73. State-Administered English Literacy	PL 100-297	F	A	1	100	ED	84.223
74. State Grants for Technology-Related Assistance to Individuals with Disabilities	PL 100-407	P		1	100	ED	84.224
75. Education for Homeless Children and Youth—Exemplary Grants	42 USC 11433	P		2	100	ED	84.225
76. Secondary Schools—Basic Skills Demonstration Assistance	20 USC 3261	P		3	100	ED	84.227
77. Technology Education Demonstration	20 USC 5101-5106	P		4	65	ED	84.230
78. Emergency Grants (Substance Abuse)	PL 101-647, Pt. D, sec. 5136	P		3	100	ED	84.233
79. Children and Youth with Serious Emotional Disturbance	20 USC 1426	P		4	100	ED	84.237
80. Training Programs for Educators—Alcohol Abuse	20 USC 3156-1(b)	P		4	100	ED	84.238
81. Counselor Training	20 USC 5129	P		4	100	ED	84.241
82. Tech-Prep Education	20 USC 2394	F	AB	1	100	ED	84.243
<b>502 Higher Education</b>							
1. International Research and Studies	20 USC 512	P		4	100	ED	84.017
2. Fulbright-Hays Training Grants: Group Projects Abroad	22 USC 2452	P		4	CS	ED	84.021
3. Talent Search	20 USC 1070d, 1070d-1	P		4	CS	ED	84.044
4. Upward Bound	20 USC 1070d, 1070d-1	P		4	CS	ED	84.047
5. Educational Opportunity Centers	20 USC 1070d, 1070d-1	P		4	CS	ED	84.066
6. Grants to States for State Student Incentives	20 USC 1070c	F	D	1	50	ED	84.069
7. Paul Douglas Teacher Scholarships	20 USC 1111-1111h	F	A	1	100	ED	84.176
8. Robert C. Byrd Honors Scholarships	20 USC 1070d-31	F	M	1	100	ED	84.185
9. Star Schools Program	20 USC 4081-86	P		4	75	ED	84.203



Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Adminis- tering Agency	CFDA Number
<b>503 Research and General Education Aids</b>							
1. Public Telecommunications Facilities: Construction and Planning	47 USC 390-94; 397-99b	P		4	75/100	COM	11.550
2. Promotion of the Arts: Project and Production Grants	20 USC 954 (c),(e)	P		4	50	NFAH	Various from 45.001 to 45.023
3. Promotion of the Arts: State Programs	20 USC 954 (g)	F	A	1	50	NFAH	45.007
4. Promotion of the Humanities	20 USC 956(c), (f)	P		4	CS	NFAH	Various from 45.104 to 45.153
5. Promotion of the Humanities: State Programs	20 USC 956(f)	F	A	1	50/100	NFAH	45.129
6. Institute of Museum Services	20 USC 965	P		4	50	NFAH	45.301
7. Library Services	20 USC 351c	F	AB	1	33-67	ED	84.034
8. Interlibrary Cooperation and Resource Sharing	20 USC 355e	F	AB	1	100	ED	84.035
9. Library Research and Demonstration	20 USC 1034	P		4	100	ED	84.039
10. Strengthening Research Library Resources	20 USC 1041-6	P		4	100	ED	84.091
11. Educational Research and Development	20 USC 1221e-c(2)	P		4	100	ED	84.117
12. Public Library Construction	20 USC 351c	F	AB	1	33-66	ED	84.154
13. Library Literacy	30 USC 375	P		2	100	ED	84.167
14. Educational Partnerships	20 USC 5031-5039	P		4	90,75,50 33 <sup>1</sup> / <sub>3</sub>	ED	84.228
15. Foreign Language Materials Acquisition	20 USC 371F	P		2	100	ED	84.239
<b>504 Training and Employment</b>							
1. Employment Service	29 USC 49d	F	E	1	100	DOL	17.207
2. Senior Community Service Employment	42 USC 3056(b)	F/P	ABHM	4	90	DOL	17.235
3. Employment and Training Assistance for Dislocated Workers	29 USC 1651-58	F	E	1	50	DOL	17.246
4. Migrant and Seasonal Farmworkers	29 USC 1672 (note)	F/P	A	4	100	DOL	17.247
5. Employment and Training Research and Development Projects	29 USC 1732-3	P		4	100	DOL	17.248
6. Employment Services and Job Training: Pilot and Demonstration Programs	29 USC 1732-3	P		4	100	DOL	17.249
7. Summer Youth Employment and Training Program	29 USC 1501-92,1631-4	F	AE	1	100	DOL	17.250
8. Veterans Employment Program	20 USC 1721	P		4	50	DOL	17.802
<b>505 Other Labor Services</b>							
1. Labor Force Statistics	29 USC 2, 5	P		1	100	DOL	17.002
2. Labor-Management Cooperation	29 USC 125a	P		4	75:90	FMCS	34.002
<b>506 Social Services</b>							
1. Housing Counseling Assistance Program	12 USC 1701x(a)(2)	P		4	100	HUD	14.169
2. Foster Grandparent Program	42 USC 5011(a)	P		4	90	ACT	72.001
3. Retired Senior Volunteer Program (RSVP)	42 USC 5001	P		4	90,80,70	ACT	72.002
4. Service-Learning Programs	42 USC 4974	P		4	CS	ACT	72.005
5. Senior Companion Program	42 USC 5013	P		4	90	ACT	72.008
6. Mini-Grant Program	42 USC 4992(a)	P		4	90	ACT	72.010

Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Adminis- tering Agency	CFDA Number
<b>506 Social Services (cont.)</b>							
7. Drug Alliance	42 USC 4994	P		4	100	ACT	72.014
8. Rehabilitation Services: Basic Support	29 USC 730	F	AB	1	80	ED	84.126
9. Rehabilitation Services: Service Projects	29 USC 732	P		1	100	ED	84.128
10. Rehabilitation Services and Facilities: (Construction Grants)	29 USC 771(b)	P		4	50-67	ED	84.128
11. Rehabilitation Services and Facilities: (Staffing Grants)	29 USC 771(c)	P		4	75,30	ED	84.128
12. Rehabilitation Services and Facilities: (Planning Grants)	29 USC 771(d)	P		4	90	ED	84.128
13. Rehabilitation Services and Facilities: (Vocational Training Service for Handicapped Individuals)	29 USC 772(b)	P		4	90	ED	84.128
14. Rehabilitation Services and Facilities: Operation Grants	29 USC 772(c)	P		4	80	ED	84.128
15. Rehabilitation Services and Facilities: Training, Traineeships, and Related Activities	29 USC 774(a)	P		4	90	ED	84.128
16. Rehabilitation Services and Facilities: Migratory Workers	29 USC 777b,776, 721	P		2	90	ED	84.128
17. Rehabilitation Services and Facilities: Reader Services for the Blind	29 USC 777d	P		4	90	ED	84.128
18. Rehabilitation Services and Facilities: Interpreter Services for the Deaf	29 USC 777e	P		4	90	ED	84.128
19. Rehabilitation Services and Facilities: Special Recreational Programs	29 USC 777f	P		4	100	ED	84.128
20. Rehabilitation Training	29 USC 774	P		4	CS	ED	84.129
21. Centers for Independent Living	29 USC 796e	P		4	100	ED	84.132
22. National Institute on Disability and Rehabilitation Research	29 USC 761a	P		4	CS	ED	84.133
23. Training Interpreters for Deaf Individuals	29 USC 774(d)	P		4	100	ED	84.160
24. Client Assistance for Handicapped Individuals	29 USC 732(e)	F	A	1	100	ED	84.161
25. Comprehensive Services for Independent Living	29 USC 796	P		1	50/90	ED	84.169
26. Rehabilitation Services and Facilities: Independent Living Services for Older Blind Individuals	29 USC 796f	P		1	100	ED	84.177
27. Supported Employment Services for Individuals with Severe Handicaps	29 USC 795 j-q	F	A	1	100	ED	84.187
28. Projects with Industry	20 USC 795g	P		4	80	ED	84.234
29. Special Projects and Demonstrations for Providing Vocational Rehabilitation Services to Individuals with Severe Handicaps	29 USC 310, 311	P		4	100	ED	84.235
30. Training and Public Awareness Projects in Technology Related Assistance for Individuals with Disabilities	29 USC 2211(b)(6)	P		3	100	ED	84.236
31. Program of Protection and Advocacy	29 USC 796g	P		1	100	ED	84.240
32. Rehabilitation Short-Term Training	29 USC 774	P		4	CS	ED	84.246
33. State Legalization Impact Assistance	8 USC 1255a	F	AM	1	CS	FSA	93.025
34. Community Services Block Grant Discretionary Awards— Community Food and Nutrition	42 USC 9910a	F	A	1	100	FSA	93.033
35. Emergency Community Services for the Homeless	42 USC 11461	F	M	1	100	FSA	93.034
36. Community Services Block Grant Discretionary Awards— Demonstration Partnership	42 USC 99106	P		4	50	FSA	93.035
37. Transitional Living for Runaway and Homeless Youth	42 USC 5711	P		4	90	OHDS	93.550
38. Special Programs for the Aging—Prevention of Abuse, Neglect, and Exploitation of Older Individuals	42 USC 3022-3030(d)	F	A	1	85	OHDS	93.552
39. Special Programs for the Aging—Long-Term Care Ombudsman Services for Older Individuals	42 USC 3022-3030(d)	F	A	1	85	OHDS	93.553

Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Adminis- tering Agency	CFDA Number
<b>506 Social Services (cont.)</b>							
40. Emergency Protection Grants—Substance Abuse	PL 101-645, sec. 661	P		4	80	OHDS	93.554
41. Headstart Programs: Program Grants	42 USC 9831 et seq.	F/P	AAM	4	80	OHDS	93.600
42. Headstart Programs: Technical Assistance and Training	42 USC 9843	P		4	80	OHDS	93.600
43. Headstart Programs: Research, Demonstration, and Pilot Projects	42 USC 9844	P		4	80	OHDS	93.600
44. Child Welfare Research and Demonstration	42 USC 626(a)(1)	P		4	95	OHDS	93.608
45. Native Americans Programs: Financial Assistance	42 USC 2991b	P		4	80	OHDS	93.612
46. Child Development Associate Scholarships	42 USC 10901	F	A	1	100	OHDS	93.614
47. Runaway and Homeless Youth	42 USC 5711	F/P	A	4	90	OHDS	93.623
48. Developmental Disabilities: Basic Grants	42 USC 6025	F	AAB	1	75:90	OHDS	93.630
49. Developmental Disabilities: Protection and Advocacy of Individual Rights	42 USC 6042	F	AAB	1	100	OHDS	93.630
50. Developmental Disabilities: Special Projects	42 USC 6081	P		4	100	OHDS	93.631
51. Special Programs for the Aging: Planning, Coordination, Evaluation, and Administration of State Plan	42 USC 3028	F	A	1	75	OHDS	93.633
52. Special Programs for the Aging: Supportive Services	42 USC 3030d	F	A	1	85	OHDS	93.633
53. Special Programs for the Aging: In-Home Services for Frail Older Individuals	42 USC 3023	F	A	1	85	OHDS	93.641
54. Child Welfare Services: State Grants	42 USC 620	F	AB	1	75	OHDS	93.645
55. Social Services Research and Demonstration	42 USC 1310	P		4	CS	OHDS	93.647
56. Adoption Opportunities	42 USC 5111 et seq.	P		4	100	OHDS	93.652
57. Temporary Child Care and Crisis Nurseries	42 USC 5117 a-d	P		1	75	OHDS	93.656
58. Drug Abuse Prevention and Education for Runaway and Homeless Youth	42 USC 11821-5	P		4	75	OHDS	93.657
59. Foster Care: Title IV-E	42 USC 670 et seq.	F	BH*	1	50-83/50/75	OHDS	93.658
60. Adoption Assistance	42 USC 670 et seq.	F	BH*	1	50-78/75/50	OHDS	93.659
61. Drug Abuse Prevention and Education Relating to Youth Gangs	42 USC 11801-5	P		4	75	OHDS	93.660
62. Comprehensive Child Development Centers	42 USC 9871 et seq.	P		4	80	OHDS	93.666
63. Special Programs for the Aging: Training and Recruiting Personnel	42 USC 3031	P		4	100	OHDS	93.668
64. Special Programs for the Aging: Multidisciplinary Centers of Gerontology	42 USC 3032	P		4	100	OHDS	93.668
65. Special Programs for the Aging: Research and Development	42 USC 3035	P		4	CS	OHDS	93.668
66. Special Programs for the Aging: Demonstration Projects	42 USC 3035a	P		4	100	OHDS	93.668
67. Special Programs for the Aging: Special Projects in Comprehensive Long-Term Care	42 USC 3035b	P		4	100	OHDS	93.668
68. Special Programs for the Aging: Special Demonstration Projects for Legal Assistance	42 USC 3035c	P		4	100	OHDS	93.668
69. Special Programs for the Aging: National Impact Activities	42 USC 3035d	P		4	100	OHDS	93.668
70. Administration for Children, Youth and Families: Child Abuse and Neglect: State Grants	42 USC 5103(b)	F	A	1	100	OHDS	93.669
71. Administration for Children, Youth and Families: Child Abuse and Neglect: Discretionary Activities	42 USC 5103(c)	P		1	100	OHDS	93.670
72. Family Violence Prevention and Services	42 USC 10402	F/P	A	1	65/45/35	OHDS	93.671

Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Adminis- tering Agency	CFDA Number
<b>506 Social Services (cont.)</b>							
73. Child Abuse Challenge Grants	42 USC 5116	F	A	1	100	OHDS	93.672
74. Planning and Development of Dependent Care Programs	42 USC 9871 et seq.	F	A	1	75	OHDS	93.673
75. Independent Living	42 USC 677	F	A	1	100	OHDS	93.674
<b>550 Health</b>							
1. Adolescent Family Life Research	42 USC 3002 et seq.	P		4	100	PHS	93.111
2. Tuberculosis Control	42 USC 247b	P		2	100	PHS	93.116
3. Acquired Immunodeficiency Syndrome (AIDS) Activity	42 USC 247c(d)	P		4	100	PHS	93.118
4. Mental Health Services for Cuban Entrants	8 USC 1522	P		4	100	PHS	93.120
5. Mental Health Planning and Demonstration Projects	42 USC 290aa-3	P		1	100	PHS	93.125
6. Health Services Delivery to AIDS Victims: Demonstration Grants	42 USC 241	P		4	100	PHS	93.133
7. Applied Methods in Surveillance and State and Community- Based Injury Control Projects	42 USC 241	P		2	100	PHS	93.136
8. Minority Community Health Coalition Demonstration	Sec. 301, PHS Act	P		4	CS	PHS	93.137
9. Protection and Advocacy for Mentally Ill Individuals	PL 99-319	F	AB	4	100	PHS	93.138
10. Drug and Alcohol Abuse: High-Risk Youth	PL 99-570	P		4	100	PHS	93.144
11. AIDS Education and Training Centers	42 USC 241	P		4	100	PHS	93.145
12. Community Demonstration Grant Projects for Alcohol and Drug Abuse of Homeless Individuals	42 USC 29066-1a	P		4	100	PHS	93.152
13. Pediatric AIDS Health Care Demonstration Program	PL 100-202	P		4	100	PHS	93.153
14. Rural Health Policy/Research Centers	42 USC 241	P		4	100	PHS	93.155
15. Health Care Services in the Home	42 USC 280c	P		1	75,55	PHS	93.159
16. Research Facilities Improvement (AIDS)	42 USC 287,287a	P		4	100	PHS	93.167
17. Model Projects for Pregnant and Post-partum Women and Their Infants (Substance Abuse)	PL 100-690	P		4	100	PHS	93.169
18. Community Youth Activity Demonstration	PL 100-960	P		1	100	PHS	93.170
19. Conference Grant (Substance Abuse)	PL 100-690	P		4	100	PHS	93.174
20. Drug Abuse Treatment Waiting List Reduction	PL 100-690	P		4	100	PHS	93.175
21. Disabilities Prevention	42 USC 301	P		1	100	PHS	93.184
22. Immunization Research, Demonstration, Public Information and Education—Education, Training, and Clinical Skills Improvement Projects	42 USC 247b(k)(3)	P		4	100	PHS	93.185
23. Interdisciplinary Training for Health Care for Rural Areas	42 USC 295j	P		4	100	PHS	93.192
24. Community Partnership Demonstration Grant (Substance Abuse)	42 USC 290aa-6(b)(10)	P		4	100	PHS	93.194
25. Cooperative Agreements for Drug Abuse Treatment Improvement Projects in Target Cities	42 USC 290aa-14	P		1	100	PHS	93.196
26. Childhood Lead Poisoning Prevention Projects—State and Community-Based Childhood Lead Poisoning Prevention Program	PHS Act sec 317A	P		2	100	PHS	93.197
27. HIV Home and Community-Based Health Services	42 USC 300dd 1-4	F	BI	1	100	PHS	93.199
28. Family Planning Services	42 USC 300(a)	P		4	100	PHS	93.217
29. Community Health Centers: Planning and Development	42 USC 254c(c)	P		4	100	PHS	93.224

Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Adminis- tering Agency	CFDA Number
<b>550 Health (cont.)</b>							
30. Community Health Centers: Operations	42 USC 254c(d)	P		4	100	PHS	93.224
31. Health Services Research and Development	42 USC 242c	P		4	CS	PHS	93.226
32. Mental Health Research	42 USC 241	P		4	CS	PHS	93.242
33. Mental Health: Clinical or Service-Related Training	42 USC 242a(c)	P		4	100	PHS	93.244
34. Migrant Health Centers: Planning, Development, and Training	42 USC 254b(c)	P		4	100	PHS	93.246
35. Migrant Health Centers: Operations	42 USC 254b(d)	P		4	100	PHS	93.246
36. Family Planning Personnel Training	42 USC 300a-1(a)	P		4	100	PHS	93.260
37. Occupational Safety and Health: Research	30 USC 951	P		4	100	PHS	93.262
38. Occupational Safety and Health: Training	29 USC 670	P		4	100	PHS	93.263
39. Childhood Immunization	42 USC 247b(j)(1)	P		2	100	PHS	93.268
40. Alcohol Research Programs	42 USC 241	P		4	100	PHS	93.273
41. Drug Abuse Research Programs	42 USC 241	P		4	100	PHS	93.279
42. Centers for Disease Control—Investigations and Technical Assistance	PHS Act, secs. 361-69	P		H	100	PHS	93.283
43. Nurse Practitioner and Nurse Midwife Education and Traineeships	42 USC 296m	P		4	100	PHS	93.298
44. Health Care Financing Research, Demonstrations, and Evaluations	42 USC 1310(a),1315	P		4	CS	HCFA	93.779
45. Survey and Certification of Health Care Providers and Suppliers	42 USC 1395aa(a) and 1396b	P		1	50/100	HCFA	93.777
46. Population Research	42 USC 241, 285g,300a-2	P		4	CS	PHS	93.864
47. Research for Mothers and Children	42 USC 289g,241	P		4	CS	PHS	93.865
48. Aging Research	42 USC 241,285, 288	P		4	CS	PHS	93.866
49. Physician Assistant Training Program	42 USC 295g-3	P		4	100	PHS	93.886
50. Non-Acute Care Intermediate and Long-Term Care Facilities for Patients with AIDS	42 USC 300r(b)	P		4	80:100	PHS	93.887
51. Alcohol Research Center Grants	42 USC 290bb-1	P		4	100	PHS	93.891
52. Communications Programs Aimed toward Prevention of Alcohol and Other Drug Problems	42 USC 290aa-6(b)	P		4	100	PHS	93.901
53. Model Comprehensive Drug Abuse Treatment Programs for Critical Populations	42 USC 290aa-14	P		1	100	PHS	93.902
54. Model Criminal Justice Drug Abuse Treatment—Incarcerated Populations—Non-Incarcerated Populations— Juvenile Justice Populations	42 USC 290aa-14	P		1	100	PHS	93.903
55. Prevention and Primary Health Care Services to Persons with HIV Infection and/or AIDS in Community Health Facilities	PL 101-166	P		4	CS	PHS	93.904
56. Subacute Care Demonstration (AIDS Patients)	42 USC 300dd 1-14	P		4	100	PHS	93.909
57. Drug Abuse Campus Treatment Demonstration Projects	PHS Act, Title V, Part A, sec. 509G(b)	P		1	80	PHS	93.911
58. HIV Emergency Relief Project Grants	PHS Act, secs. 2601-2608	P		4	100	PHS	93.914
59. HIV Emergency Relief Formula Grants	PL 101-381, Title I, Part A, secs. 2601-2608	F	I	3	100	PHS	93.915
60. Nurse Anesthetist Education	42 USC 297-1	P		4	100	PHS	93.916
61. HIV Care Formula Grants	PHS Act, secs. 2611-2620	F	BI	1	83 <sup>1</sup> / <sub>3</sub> ,80 75,66 <sup>2</sup> / <sub>3</sub>	PHS	93.917

Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Adminis- tering Agency	CFDA Number
<b>550 Health (cont.)</b>							
62. Outpatient Early Intervention Services with Respect to HIV Disease	PHS Act, secs. 2651-2667	P		4	100	PHS	93.918
63. State-Based Comprehensive Breast and Cervical Cancer Control Programs	42 USC 300K	P		1	75	PHS	93.919
64. Facilities Construction Projects to Support Centers for Treatment and Research on Substance Abuse	PL 101-517	P		4	100	PHS	93.920
65. ADAMHA Science Education Partnership Award	42 USC 241 and 285	P		4	100	PHS	93.921
66. NIH Science Education Partnership Award	42 USC 241 and 285	P		4	100	PHS	93.922
67. Coal Miners Respiratory Impairment Treatment Clinics and Services	30 USC 937(a)	P		4	100	PHS	93.965
68. Family Planning: Services Delivery Improvement Research	42 USC 300a-2	P		4	100	PHS	93.974
69. Preventive Health Services: Sexually Transmitted Diseases Control	42 USC 247c	P		4	CS	PHS	93.977
70. Sexually Transmitted Diseases: Research, Demonstration, and Public Information and Education	42 USC 247c(b)	P		4	CS	PHS	93.978
71. Mental Health Disaster Assistance and Emergency Mental Health	42 USC 5183	P		4	100	PHS	93.982
72. Health Programs for Refugees	50 USC Appx 1211(c)(3)	P		2	100	PHS	93.987
73. State-Based Diabetes Control Programs	42 USC 241a	P		1	100	PHS	93.988
74. Adolescent Family Life Demonstration Projects	42 USC 300z-2	P		4	70,40	PHS	93.995
<b>551 Health Care Services</b>							
1. Emergency Medical Services for Children	42 USC 300w-9	P		4	100	PHS	93.127
2. Community Health Centers Technical and Nonfinancial Assistance	42 USC 254c(f)	P		4	100	PHS	93.129
3. Primary Care Services: Planning and Development	42 USC 254c(c)	P		4	100	PHS	93.130
4. AIDS Drug Reimbursements	42 USC 247d	F	A	1	100	PHS	93.146
5. Health Services to the Homeless	42 USC 256	P		4	75	PHS	93.151
6. Grants for State Loan Repayment	42 USC q-1	P		1	75	PHS	93.165
7. Medical Assistance Programs (Medicaid)	42 USC 1396	F	BI*	1	50/75/90/50-83	HCFA	93.778
8. State Medicaid Fraud Control Units	42 USC 1396b	F	M	1	90,75	HCFA	93.775
9. Rural Health Services Outreach	PL 101-517	P		4	100	PHS	93.912
10. Operation of Offices of Rural Health	PHS Act, sec. 338J	P		1	75,50,25	PHS	93.913
<b>554 Consumer and Occupational Health and Safety</b>							
1. Intrastate Meat and Poultry Inspection	21 USC 661(a)(3)	F	M	1	50	AGR	10.475
2. Occupational Safety and Health	29 USC 672(g)	P		1	50	DOL	17.500
3. Occupational Safety and Health: Statistical Programs	29 USC 673	P		2	50	DOL	17.500
4. Employee Assistance Programs—Drug, Alcohol Abuse	PL100-690, sec. 2101	P		4	75,50,25	DOL	17.501
5. Mine Health and Safety	30 USC 953	P		1	80	DOL	17.600
<b>601 General Retirement and Disability Insurance</b>							
1. Social Security—Research and Demonstration	42 USC 1310	P		4	75-95	SSA	13.812

Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Adminis- tering Agency	CFDA Number
<b>604 Public Assistance and Other Income Supplements</b>							
1. Rural Self-Help Housing: Technical Assistance	42 USC 1490(c)	P		4	100	AGR	10.420
2. Rural Housing Preservation	42 USC 1490	F/P	ABK	4	100	AGR	10.433
3. Supportive Housing Demonstration Program	42 USC 11381	P		4	CS	HUD	14.178
4. Congregate Housing Services Program	42 USC 8001	P		4	100	HUD	14.170
5. Public Housing Drug Elimination Program	PL 100-690, Title V, sec. 5123	P		3	100	HUD	14.854
<b>605 Food and Nutrition Assistance</b>							
1. Food Distribution	7 USC 612c	F	M*	4	100	AGR	10.550
2. School Breakfast Program	42 USC 1773(a)	F	BJM*	4	CS	AGR	10.553
3. National School Lunch Program	42 USC 1752, 1753	F	BJM*	1	70	AGR	10.555
4. Special Milk Program for Children	42 USC 1772	F	BJM*	4	100	AGR	10.556
5. Special Supplemental Food Program for Women, Infants, and Children	42 USC 1786	F	AIIJ	4	100	AGR	10.557
6. Child Care Food Program	42 USC 1766	F	BM*	1	100	AGR	10.558
7. Summer Food Service Program for Children	42 USC 1761(b)	F	JM*	1	100	AGR	10.559
8. State Administrative Expenses for Child Nutrition	42 USC 1776	F	M	1	100	AGR	10.560
9. State Administrative Matching Grants for Food Stamp Program	7 USC 2024(b)	F	M*	1	50/60/75	AGR	10.561
10. Nutrition Education and Training	42 USC 1788	F	AD	1	100	AGR	10.564
11. Commodity Supplemental Food Program: Administrative Costs	7 USC 612c note	F	M*	2	15	AGR	10.565
12. Nutrition Program for the Elderly (Commodities)	42 USC 3030a	F	A	1	100	AGR	10.570
13. Food Commodities for Soup Kitchens	7 USC 612c note	F	A	1	100	AGR	10.571
14. Federal Emergency Management Food and Shelter Program	42 USC 11341	F	A	4	100	FEMA	83.523
<b>609 Other Income Security</b>							
1. Assistance Payments: Maintenance Assistance	42 USC 601, 603	F	ABH*	1	50/90/50-83	FSA	93.020
2. Job Opportunities and Basic Skills Training	PL 100-485	F	AM	1	50/60/90	FSA	93.021
3. Assistance Payments: Research	42 USC 626, 1310	P		4	CS	FSA	93.022
4. Assistance Payments: Demonstration	42 USC 626, 1315	P		4	CS	FSA	93.023
5. Child Support Enforcement	42 USC 651, 663	F	M*	1	70	FSA	93.024
6. Child Support Enforcement: Research	42 USC 1315	P		4	CS	FSA	93.025
7. Refugee and Entrant Assistance: State-Administered Programs	8 USC 1522 note	F	A	1	100	FSA	93.026
8. Child Care for Families at Risk of Welfare Dependency	42 USC 602(i)	F	A	1	50-83	FSA	93.036
9. Child Care Improvement	42 USC 602(g)(6)(D)	F	A	1	90	FSA	93.039
<b>703 Hospital and Medical Care for Veterans</b>							
1. Veterans Hospital and Medical Care: Construction of State Home Facilities	38 USC 5032	P		1	65	VA	64.005
2. Veterans Hospital and Medical Care: State Domiciliary Care	38 USC 641	F	IM*	1	50	VA	64.014
3. Veterans Hospital and Medical Care: State Nursing Home Care	38 USC 641	F	IM*	1	50	VA	64.015
4. Veterans Hospital and Medical Care: State Hospital Care	38 USC 641	F	IM*	1	50	VA	64.016
<b>705 Other Veterans Benefits and Services</b>							
1. State Cemetery Grants	38 USC 1008	P		1	50	VA	64.203

Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Adminis- tering Agency	CFDA Number
<b>751 Federal Law Enforcement Activities</b>							
1. Fair Housing Assistance Program	42 USC 3608(d)	P		2	100	HUD	14.401
2. Fair Housing Initiatives Program (FHIP) Administrative Enforcement Initiative	42 USC 3616	P		3	100	HUD	14.408
3. Fair Housing Initiatives Program (FHIP) Education and Outreach Initiative	42 USC 3616 note	P		4	100	HUD	14.409
<b>754 Criminal Justice Assistance</b>							
1. Children's Justice Grants to States	42 USC 5103	F	A	1	100	OHDS	93.643
2. Juvenile Justice and Delinquency Prevention: Allocation to States	42 USC 5631	F	A	2	50/100	DOJ	16.540
3. Juvenile Justice and Delinquency Prevention: Special Emphasis and Technical Assistance	42 USC 5634	P		4	50/100	DOJ	16.541
4. National Institute for Juvenile Justice and Delinquency Prevention	42 USC 5651	P		4	100	DOJ	16.542
5. Missing Children's Assistance: Public Information	42 USC 5773(b)	P		4	100	DOJ	16.543
6. Missing Children's Assistance: Research, Demonstration	42 USC 5775	P		4	100	DOJ	16.543
7. Criminal Justice Statistics Development	42 USC 3732	P		1	100	DOJ	16.550
8. Justice Research and Development	42 USC 3722	P		4	100	DOJ	16.560
9. Mariel Cubans	101 Stat 1329-14	P		1	100	DOJ	16.572
10. Criminal Justice Discretionary Grants	42 USC 3761	P		4	100	DOJ	16.574
11. Crime Victim Assistance	42 USC 10603	F	A	1	100	DOJ	16.575
12. Crime Victim Compensation	42 USC 10602	F	M	1	100	DOJ	16.576
13. Emergency Federal Law Enforcement Assistance	42 USC 10501	P		1	100	DOJ	16.577
14. State and Local Narcotics Control Assistance	42 USC 3712 et seq.	F/P	A	2	75	DOJ	16.579
15. Narcotics Control Discretionary Program	42 USC 3796p	P		4	100	DOJ	16.580
16. Drug Law Enforcement Program—Prison Capacity	42 USC 3796i(4)	P		4	100	DOJ	16.581
17. Crime Victim Assistance/Discretionary Grants	42 USC 10603	P		4	100	DOJ	16.582
18. Corrections: (a) Training and Staff Development, (b) Research and Evaluation, (c) Technical Assistance, (d) Policy Formulation, and (e) Clearinghouse	18 USC 4351-53	P		4	100	DOJ	16.601 16.602 16.603
<b>804 General Property and Records Management</b>							
1. Toxic Substance Compliance Monitoring	15 USC 2627	P		1	75	EPA	66.701
2. National Historical Publications and Records	44 USC 2504(a)	P		4	CS	NARA	89.003
<b>999 Multiple Functions</b>							
1. Payments to States in Lieu of Real Estate Taxes	33 USC 701c-3	F	M	1	100	DOD	12.112
2. Unemployment Insurance	42 USC 502	F	M*	1	100	DOL	17.225
3. Disabled Veterans Outreach Program	38 USC 2003A	F	AH	1	100	DOL	17.801
4. Local Veterans Employment Representative Program	38 USC 2004	F	HM	1	100	DOL	17.804
5. Air Pollution Control Research	42 USC 7403(b)	P		4	95	EPA	66.501
6. Air Pollution Control: Research, Relating to Fuels and Vehicles	42 USC 7404(a)(2)	P		4	95	EPA	66.501



Budget Subfunction and Title	U.S. Code or Public Law	Type	Formula Data Elements	Recipient	Federal Share	Adminis- tering Agency	CFDA Number
<b>999 Multiple Functions (cont.)</b>							
7. Pesticides Control Research	7 USC 136r(a)	P		4	95	EPA	66.502
8. Solid Waste Disposal: Research	42 USC 3253(b),3253a	P		4	95	EPA	66.504
9. Water Pollution Control: Research, Training, and Demonstration	33 USC 1254(b)(3)	P		4	95	EPA	66.505
10. Water Pollution Control: Oil Pollution Control Studies	33 USC 1254(i)	P		4	95	EPA	66.505
11. Water Pollution Control: Storm Waters, Waste Treatment, and Water Purification Demonstration	33 USC 1255(a)	P		2	95	EPA	66.505
12. Water Pollution Control: River Basin Demonstration	33 USC 1255(b)	P		1	95	EPA	66.505
13. Water Pollution Control: Pollution from Sewage in Rural Areas	33 USC 1255(e)(2)	P		4	95	EPA	66.505
14. Water Pollution Control: Mine Water Pollution Control and Demonstrations	33 USC 1257	P		4	95	EPA	66.505
15. Safe Drinking Water Research and Demonstration	42 USC 300j-3(a)	P		4	67/75/95	EPA	66.506
16. Toxic Substances Research	15 USC 2609	P		4	95	EPA	66.507
17. Solid Waste Management Assistance	42 USC 6948	P		4	95	EPA	66.808
18. Weatherization Assistance for Low-Income Persons	42 USC 6863	F	AG	1	100	DOE	81.042
19. Civil Defense: State and Local Emergency Management Assistance	50 USC Appx 2251	F	AAM	1	50	FEMA	83.503
20. Other State and Local Direction, Control, and Warning	50 USC Appx 2251	P		2	50	FEMA	83.504
21. Facility Survey, Engineering, and Development	50 USC App 2551	P		1	100	FEMA	83.509
22. State and Local Emergency Operating Centers	50 USC Appx 2251	P		2	50	FEMA	83.512
23. State and Local Warning and Communication Systems	50 USC Appx 2251	P		2	50	FEMA	83.513
24. Population Protection Planning	50 USC Appx 2251-97	P		1	100	FEMA	83.514
25. Emergency Broadcast System Guidance and Assistance	50 USC Appx 2251	P		1	100	FEMA	83.515
26. Hazard Mitigation Assistance	42 USC 5171	P		1	100	FEMA	83.519
27. Hurricane Preparedness	42 USC 5131	P		1	CS	FEMA	83.520
28. Earthquake Hazards Reduction	42 USC 7704(g)	P		1	75.50	FEMA	83.521
29. Radiological Defense	50 USC Appx 2286	F	M	1	100	FEMA	83.522
30. National Urban Search and Rescue Program	PL 101-613, sec. 5(b)(2)(A)	P		1	100/75/ 65/50	FEMA	83.526
31. Emergency Management Institute—Field Training Program	42 USC 5121, 7714(g), 50 USC App 2281(e), (g), (i)	P		1	CS	FEMA	83.528

\* Open-end reimbursement.

<sup>1</sup> Varies with type of airport and type of activity aided.



## State Taxation of Interstate Mail Order Sales

*State Taxation of Interstate Mail Order Sales* estimates the 1990-1992 revenue potential for states if they could require out-of-state mail order firms to collect state sales and use taxes. The revenue potential for all states is estimated at \$2.91 billion for 1990, \$3.08 billion for 1991, and \$3.27 billion for 1992. These aggregate estimates show an increase of 73 percent over ACIR's 1985 estimates and 34 percent over 1988. ACIR estimates of the revenue potential if state and local sales taxes were collected are \$3.49 billion for 1990, \$3.69 billion for 1991, and \$3.91 billion for 1992. These new estimates are particularly important in light of the U.S. Supreme Court's agreement to hear *Quill Corporation v. North Dakota*. In accepting this case, the Court agrees to review its 1967 ruling in *National Bellas Hess v. Illinois Department of Revenue*, which limited the ability of state (and local) governments to require out-of-state mail order firms to collect state and local sales and use taxes.

M-179            1991            14 pages            \$10

## The Changing Public Sector: Shifts in Governmental Spending and Employment

*The Changing Public Sector* updates and broadens ACIR's 1982 analysis of expenditure and public employment data. From 1967-1987, the public sector continued to expand, and government spending priorities shifted, particularly those of the federal government. In 1987, states were spending more in relation to both federal expenditures and local expenditures than in 1967. Among local governments, county and special district expenditures increased the most. The analysis is based on the Census Bureau's five-year Census of Governments. Total spending by all governments rose from \$257.8 billion in 1967 to \$1,811.7 billion in 1987, or by 603 percent (115 percent in constant 1982 dollars). Per capita, total public spending grew from \$1,297 in 1967 to \$7,427 in 1987, a 473 percent increase (75 percent in constant dollars).

M-178            1991            112 pages            \$15

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## What is ACIR?

The Advisory Commission on Intergovernmental Relations (ACIR) was created by the Congress in 1959 to monitor the operation of the American federal system and to recommend improvements. ACIR is a permanent national bipartisan body representing the executive and legislative branches of federal, state, and local government and the public.

The Commission is composed of 26 members—nine representing the federal government, 14 representing state and local government, and three representing the public. The President appoints 20—three private citizens and three federal executive officials directly, and four governors, three state legislators, four mayors, and three elected county officials from slates nominated by the National Governors' Association, the National Conference of State Legislatures, the National League of Cities, U.S. Conference of Mayors, and the National Association of Counties. The three Senators are chosen by the President of the Senate and the three Representatives by the Speaker of the House of Representatives.

Each Commission member serves a two-year term and may be reappointed.

As a continuing body, the Commission addresses specific issues and problems, the resolution of which would produce improved cooperation among the levels of government and more effective functioning of the federal system. In addition to dealing with important functional and policy relationships among the various governments, the Commission extensively studies critical governmental finance issues. One of the long-range efforts of the Commission has been to seek ways to improve federal, state, and local governmental practices and policies to achieve equitable allocation of resources and increased efficiency and equity.

In selecting items for the research program, the Commission considers the relative importance and urgency of the problem, its manageability from the point of view of finances and staff available to ACIR, and the extent to which the Commission can make a fruitful contribution toward the solution of the problem.

After selecting specific intergovernmental issues for investigation, ACIR follows a multistep procedure that assures review and comment by representatives of all points of view, all affected levels of government, technical experts, and interested groups. The Commission then debates each issue and formulates its policy position. Commission findings and recommendations are published and draft bills and executive orders developed to assist in implementing ACIR policy recommendations.

